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# 25. Comparison Based Ranking

In practice often appears the problem, how to rank different objects. Researchers of these problems frequently mention different applications, e.g. in biology Landau [69], in chemistry Hakimi [38], in networks Kim, Toroczkai, Miklós, Erdős, and Székely [61], Newman and Barabási [84], in comparison based decision making Bozóki, Fülöp, Kéri, Poesz, Rónyai and Kéri [14, 15, 68], in sports Iványi, Pirzada, and Zhou [48, 50, 53, 54, 94, 102].

A popular method is the comparison of two—and sometimes more—objects in all possible manner and distribution some amount of points among the compared objects.

In this chapter we introduce a general model for such ranking and study some connected problems.

# 25.1. Introduction to supertournaments

Let n, m be positive integers,  $\mathbf{a} = (a_1, a_2, \dots, a_m)$ ,  $\mathbf{b} = (b_1, b_2, \dots, b_m)$  and  $\mathbf{k} = (k_1, k_2, \dots, k_m)$  vectors of nonnegative integers with  $a_i \leq b_i$   $(i = 1, 2, \dots, m)$  and  $0 < k_1 < k_2 < \dots < k_m$ .

An  $(\mathbf{a}, \mathbf{b}, \mathbf{k}, m, n)$ -supertournament is an  $x \times n$  sized matrix  $\mathcal{M}$ , whose columns correspond to the players of the tournament (they represent the rankable objects) and the rows correspond to the comparisons of the objects. The permitted elements of  $\mathcal{M}$  belong to the set  $\{0, 1, 2, \ldots, b_{max}\} \cup \{*\}$ , where  $m_{ij} = *$  means, that the player  $P_j$  is not a participants of the match corresponding to the i-th line,  $m_{ij} = k$  means, that  $P_j$  received k points in the match corresponding to the i-th line, and  $b_{max} = \max_{1 \le i \le n} b_i$ .

The sum (dots are taken in the count as zeros) of the elements of the *i*-th column of  $\mathcal{M}$  is denoted by  $d_i$  and is called **the score** of the *i*th player  $P_i$ :

$$d_i = \sum_{j=1}^{x} m_{ij} \quad (i = 1, \dots, x).$$
(25.1)

The sequence  $\mathbf{d} = (d_1, \dots, d_n)$  is called the **score vector** of the tournament. The increasingly ordered sequence of the scores is called the **score sequence** of the

match/player	P <sub>1</sub>	$P_2$	P <sub>3</sub>	P <sub>4</sub>
P <sub>1</sub> -P <sub>2</sub>	1	1	*	*
P <sub>1</sub> -P <sub>3</sub>	0	*	2	*
P <sub>1</sub> -P <sub>4</sub>	0	*	*	2
P <sub>2</sub> -P <sub>3</sub>	*	0	2	*
P <sub>2</sub> -P <sub>4</sub>	*	0	*	2
P <sub>3</sub> -P <sub>4</sub>	*	*	1	1
P <sub>1</sub> -P <sub>2</sub> -P <sub>3</sub>	1	1	0	*
P <sub>1</sub> -P <sub>2</sub> -P <sub>4</sub>	1	0	*	2
P <sub>1</sub> -P <sub>3</sub> -P <sub>4</sub>	1	*	1	0
P <sub>2</sub> -P <sub>3</sub> -P <sub>4</sub>	*	0	0	2
P <sub>1</sub> -P <sub>2</sub> -P <sub>3</sub> -P <sub>4</sub>	3	1	1	1
Total score	7	3	8	10

Figure 25.1 Point matrix of a chess+last trick-bridge tournament with n=4 players.

tournament and is denoted by  $\mathbf{s} = (s_1, \dots, s_n)$ .

Using the terminology of the sports a supertournament can combine the matches of different sports. For example in Hungary there are popular chess-bridge, chess-tennis and tennis-bridge tournaments.

A sport is characterized by the set of the permitted results. For example in tennis the set of permitted results is  $S_{\text{tennis}} = \{0:1\}$ , for chess is the set  $S_{\text{chess}} = \{0:2,1:1\}$ , for football is the set  $S_{\text{football}} = \{0:3,1:1\}$  and in the Hungarian card game last trick is  $S_{\text{last trick}} = \{(0,1,1),(0,0,2).$  There are different possible rules for an individual bridge tournament, e.g.  $S_{\text{bridge}} = \{(0,2,2,2),(1,1,1,3)\}$ .

The number of participants of a match of a given sport  $S_i$  is denoted by  $k_i$ , the minimal number of the distributed points in a match is denoted by  $a_i$ , and the maximal number of points is denoted by  $b_i$ .

If a supertournament consists of only the matches of one sport, then we use a, b and k instead of vectors  $\mathbf{a}$ ,  $\mathbf{b}$ , and  $\mathbf{k}$  and omit the parameter m. When the number of the players is not important, then the parameter n is also omitted.

If the points can be divided into arbitrary integer partitions, then the given sport is called *complete*, otherwise it is called *incomplete*. According to this definitions chess is a complete (2,2)-sport, while football is an incomplete (2,3)-sport.

Since a set containing n elements has  $\binom{n}{k}$  k-element subsets, an (a, b, k)-tournament consists of  $\binom{n}{k}$  matches. If all matches are played, then the tournament is **finished**, otherwise it is **partial**.

In this chapter we deal only with finished tournaments and mostly with complete tournaments (exception is only the section on football).)

Figure 25.1 contains the results of a full and complete chess+last trick+bridge supertournament. In this example n = 4,  $\mathbf{a} = \mathbf{b} = (2, 2, 6)$ ,  $\mathbf{k} = (2, 3, 4)$ , and  $x = {4 \choose 2} + {4 \choose 3} + {4 \choose 4} = 11$ . In this example the score vector of the given supertournament is (7, 3, 8, 10), and its score sequence is (3, 7, 8, 10).

In this chapter we investigate the problems connected with the existence and con-

struction of different types of supertournaments having prescribed score sequences.

At first we give an introduction to (a,b)-tournaments (Section 25.2), then summarize the results on (1,1)-tournaments (Section 25.3), then for (a,a)-tournaments (Section 25.4) and for general (a,b)-tournaments (Sections 25.5.

In Section ?? we deal with imbalance sequences, and in Section 25.6 with supertournaments. Finally in Section 25.7 we investigate special incomplete tournaments (football tournaments).

#### **Exercises**

**25.1-1** Describe known and possible multitournaments.

**25.1-2** Estimate the number of given types of multitournaments.

# **25.2.** Introduction to (a, b)-tournaments

Let  $a, b \ (b \ge a)$  and  $n \ (n \ge 2)$  be nonnegative integers and let  $\mathcal{T}(a,b,n)$  be the set of such generalised tournaments, in which every pair of distinct players is connected at most with b, and at least with a arcs. The elements of  $\mathcal{T}(a,b,n)$  are called (a,b,n)-tournaments. The vector  $D = (d_1,d_2,\ldots,d_n)$  of the outdegrees of  $T \in \mathcal{T}(a,b,n)$  is called the score vector of T. If the elements of D are in nondecreasing order, then D is called the score sequence of T.

An arbitrary vector  $\mathbf{d} = (d_1, d_2, \dots, d_n)$  of nonnegative integers is called **graphical vector**, iff there exists a loopless multigraph whose degree vector is  $\mathbf{d}$ , and  $\mathbf{d}$  is called **digraphical vector** (or score vector) iff there exists a loopless directed multigraph whose outdegree vector is  $\mathbf{d}$ .

A nondecreasingly ordered graphical vector is called *graphical sequence*, and a nondecreasingly ordered digraphical vector is called *digraphical sequence* (or *score sequence*).

The number of arcs of T going from player  $P_i$  to player  $P_j$  is denoted by  $m_{ij}$   $(1 \le i, j \le n)$ , and the matrix  $\mathcal{M} = [1..n, 1..n]$  is called the **point matrix** or tournament matrix of T.

In the last sixty years many efforts were devoted to the study of both types of vectors, resp. sequences. E.g. in the papers [11, 23, 30, 34, 38, 41, 39, 43, 58, 89, 113, 114, 121, 124, 129] the graphical sequences, while in the papers [1, 3, 4, 11, 19, 24, 32, 33, 35, 37, 41, 44, 62, 63, 69, 71, 77, 78, 79, 82, 83, 85, 86, 87, 90, 104, 107, 109, 126, 130, 134] the score sequences were discussed.

Even in the last two years many authors investigated the conditions, when D is graphical (e.g. [7, 13, 18, 20, 26, 27, 31, 28, 46, 47, 56, 61, 64, 65, 72, 75, 91, 93, 108, 123, 127, 128, 131, 132, 136]) or digraphical (e.g. [8, 42, 48, 60, 66, 70, 81, 88, 99, 98, 100, 101, 110, 112, 122, 137]).

It is worth to mention another interesting direction of the study of different kinds of tournament, the score sets [94]

In this chapter we deal first of all with directed graphs and usually follow the terminology used by K. B. Reid [105, 107]. If in the given context a, b and n are fixed or non important, then we speak simply on *tournaments* instead of generalized or (a, b, n)-tournaments.

The first question: how one can characterise the set of the score sequences of the (a, b, n)-tournaments. Or, with another words, for which sequences  $\mathbf{q}$  of nonnegative integers does exist an (a, b, n)-tournament whose outdegree sequence is  $\mathbf{q}$ . The answer is given in Section ??.

If T is an (a,b,n)-tournament with point matrix  $\mathcal{M}=[1..n,1..n]$ , then let  $E(T),\ F(T)$  and G(T) be defined as follows:  $E(T)=\max_{1\leq i,j\leq n}m_{ij},\ F(T)=\max_{1\leq i< j\leq n}(m_{ij}+m_{ji})$ , and  $g(T)=\min_{1\leq i< j\leq n}(m_{ij}+m_{ji})$ . Let  $\Delta(D)$  denote the set of all tournaments having D as outdegree sequence, and let  $e(D),\ f(D)$  and g(D) be defined as follows:  $e(D)=\{\min\ E(T)\mid T\in\Delta(D)\},\ f(D)=\{\min\ F(T)\mid T\in\Delta(D)\},\ and\ g(D)=\{\max\ G(T)\mid T\in\Delta(D)\}.$  In the sequel we use the short notations  $E,\ F,\ G,\ e,\ f,\ g,\ \text{and}\ \Delta$ .

Hulett, Will, and Woeginger [47, 133], Kapoor, Polimeni, and Wall [57], and Tripathi et al. [125, 123] investigated the construction problem of a minimal size graph having a prescribed degree set [103, 135]. In a similar way we follow a minimax approach formulating the following questions: given a sequence  $\mathbf{q}$  of nonnegative integers,

- How to compute e and how to construct a tournament  $T \in \Delta$  characterised by e? In Subsection ?? a formula to compute e, and an algorithm to construct a corresponding tournament are presented.
- How to compute f and g? In Subsection 25.5.5 an algorithm to compute f and g is described.
- How to construct a tournament  $T \in \Delta$  characterised by f and g? In Section ?? an algorithm to construct a corresponding tournament is presented and analysed.

We describe the proposed algorithms in words, by examples and by the pseudocode used in [21]. 2 In the following sections we characterize the score sequences of (1,1)-tournaments in Section 25.3, then the score sequences of (a,a)-tournaments in Section 25.4. In Section 25.5 we show that for arbitrary increasingly ordered sequence of integers  $\mathbf{q}$  we can choose suitable a and b such, that there exists an (a,b)-tournament whose score sequence is  $\mathbf{q}$ .

# **25.3.** Existence of (1, 1, n)-tournaments with prescribed score sequence

The simplest supertournament is the classical tournament, in our notation the (1, 1, n)-tournament.

Now, we give the characterization of score sequences of tournaments which is due to Landau [69]. This result has attracted quite a bit of attention as nearly a dozen different proofs appear in the literature. Early proofs tested the readers patience with special choices of subscripts, but eventually such gymnastics were replaced by more elegant arguments. Many of the existing proofs are discussed in a survey written by K. Brooks Reid [104]. The proof we give here is due to Thomassen [122]. Further, two new proofs can be found in in the paper due to Griggs and Reid [35].

**Theorem 25.1** (Landau [69]) A sequence of nonnegative integers  $q = (q_1, ..., q_n)$ 

is the score vector of a (1,1,n)-tournament if and only if for each subset  $I \in \{1,\ldots,n\}$ 

$$\sum_{i \subseteq I} q_i \ge \binom{|I|}{2},\tag{25.2}$$

with equality when |I| = n.

This theorem, called Landau theorem is a nice necessary and sufficient condition, but its direct application can require the test of exponential number of subsets.

If instead of the nonordered vector we consider a nondecreasingly ordered sequence  $q = (q_1, \ldots, q_n)$ , then due to the monotonity  $q_1 \leq \cdots q_n$  the inequalities (25.2) called Landau inequalities, we get its following consequence.

**Corollary 25.2** A nondecreasing sequence of nonnegative integers  $\mathbf{q}=(q_1,\ldots,q_n)$  is the score sequence of some (1,1,n)-tournament, iff

$$\sum_{i=1}^{k} q_i \ge \binom{k}{2} \tag{25.3}$$

for  $i = 1, \ldots, n$  with equality for k = n.

**Proof Necessity** If a nondecreasing sequence of nonnegative integers  $\mathbf{q}$  is the score sequence of an (1,1,n)-tournament T,, then the sum of the first k scores in the sequence counts exactly once each arc in the subtournament W induced by  $\{v_1,\ldots,v_k\}$  plus each arc from W to T-W. Therefore the sum is at least  $\frac{k(k-1)}{2}$ , the number of arcs in W. Also, since the sum of the scores of the vertices counts each arc of the tournament exactly once, the sum of the scores is the total number of arcs, that is,  $\frac{n(n-1)}{2}$ .

 $\frac{n(n-1)}{2}.$  **Sufficiency** (Thomassen [122]) Let n be the smallest integer for which there is a nondecreasing sequence  ${\bf s}$  of nonnegative integers satisfying Landau's conditions (??), but for which there is no (1,1,n)-tournament with score sequence  ${\bf s}$ . Among all such  ${\bf s}$ , pick one for which  ${\bf s}$  is as lexicografically small as possible.

First consider the case where for some k < n,

$$\sum_{i=1}^{k} s_i = \binom{k}{2}. \tag{25.4}$$

By the minimality of n, the sequence  $\mathbf{S}_1 = [s_1, \dots, s_k]$  is the score sequence of some tournament  $T_1$ . Further,

$$\sum_{i=1}^{m} (s_{k+i} - k) = \sum_{i=1}^{m+k} s_i - mk \ge \binom{m+k}{2} - \binom{k}{2} - mk = \binom{m}{2}, \quad (25.5)$$

for each  $m, 1 \le m \le n-k$ , with the equality when m = n-k. Therefore, by the minimality of n, the sequence  $\mathbf{S}_2 = [s_{k+1} - k, s_{k+2-k}, \dots, s_n - k]$  is the score

sequence of some tournament  $T_2$ . By forming the disjoint union of  $T_1$  and  $T_2$  and adding all arcs from  $T_2$  to  $T_1$ , we obtain a tournament with score sequence  $\mathbf{s}$ .

Now, consider the case where each inequality in (25.3) is strict when k < n (in particular  $q_1 > 0$ ). Then the sequence  $\mathbf{S}_3 = [q_1 - 1, \dots, q_{n-1}, q_n + 1]$  satisfies (25.3) and by the minimality of  $q_1$ ,  $\mathbf{S}_3$  is the score sequence of some tournament  $T_3$ . Let u and v be the vertices with scores  $q_n + 1$  and  $q_1 - 1$  respectively. Since the score of u is larger than that of v, then according to Lemma v be the vertices of v by reversing the arcs of v by v obtain a tournament with score sequence v be a contradiction.

Landau's theorem is the tournament analog of the Erdős-Gallai theorem for graphical sequences [23]. A tournament analog of the Havel-Hakimi theorem [43, 40] for graphical sequences is the following result, the proof of which can be found in the paper of Reid and Beineke [106].,

**Theorem 25.3** (Reid, Beineke, [106]) A nondecreasing sequence  $[q_1, \ldots, q_n]$  of non-negative integers,  $n \geq 2$ , is the score sequence of an (1, 1, n)-tournament if and only if the new sequence

$$(q_1, \dots, q_{q_n}, q_{q_n+1} - 1, \dots, q_{n-1} - 1),$$
 (25.6)

when arranged in nondecreasing order, is the score sequence of some (1, 1, n - 1)-tournament.

# **25.4.** Existence of an (a, a)-tournament with prescribed score sequence

For the (a, a)-tournament Moon [78] proved the following extension of Landau's theorem.

**Theorem 25.4** (Moon [78], Kemnitz, Dulff [60] A nondecreasing sequence of non-negative integers  $q = (q_1, \ldots, q_n)$  is the score sequence of an (a, a, n)-tournament if and only if

$$\sum_{i=1}^{k} q_i \ge a \binom{k}{2}, \tag{25.7}$$

for  $i = 1, \ldots, n$  with equality for k = n.

**Proof** See [60, 78].

Later Kemnitz and Dulff [60] reproved this theorem.

The proof of Kemnitz and Dulff is based on the following lemma, which is an extension of a lemma due to Thomassen [122].

**Lemma 25.5** Let u be a vertex of maximum score in an (a, a, n)-tournament T. If v is a vertex of T different from u, then there is a directed path P from u to v of lenth at most 2.

**Proof** Let  $v_1, \ldots, v_l$  be all vertices of T such that  $(u, v_i) \in E(T)$ ,  $i = 1, \ldots, l$ . If  $v \in \{v_1, \ldots, v_l\}$  then |P| = 1 for the length |P| of path P. Otherwise if there exists a vertex  $v_i$ ,  $1 \le i \le l$ , such that  $(v_i, v) \in E(T)$  then |P| = 2. If for all  $i, 1 \le i \le l$   $(v_i, v) \notin E(T)$  then there are k arcs  $(v, v_i) \in T$  which implies  $d^+(v) \ge kl + k > kl \ge d^+u$ , a contradiction to the assumption that u has maximum score.

**Proof of Theorem 25.4.** The necessity of condition (25.7) is obvious since there are  $a\binom{k}{2}$  arcs among any k vertices and there are  $a\binom{k}{2}$  arcs among all n vertices.

To prove the sufficiency of (25.7) we assume that the sequence  $S_n = (s_1, \ldots, s_n)$  is a counterexample to the theorem with minimum n and smallest  $s_1$  with that choice of n. Suppose first that there exists an integer m,  $1 \le m < n$ , such that

$$\sum_{i=1}^{m} s_i = k \binom{k}{2}. \tag{25.8}$$

Because the minimality of n, the sequence  $(s-1,\ldots,s_n)$  is the score sequence of some (1,1,n)-tournament  $T_1$ .

Consider the sequence  $R_{n-m}=(r-1,r_2,\ldots,r_{n-m})$  defined by  $r_i=s_{m+1}-km$ ,  $i=1,\ldots,n-m$ . because of  $\sum_{i=1}^{m+1}s_i\geq k\binom{m+1}{2}$  by assumption it follows that

$$s_{m+1} = \sum_{i=1}^{m+1} s_i - \sum_{i=1}^{m} s_i \ge k \binom{m+1}{2} - k \binom{m}{2} - km$$

which implies  $r_i \geq 0$ . Since  $S_n$  is nondecreasing also  $R_{n-m}$  is a nondecreasing sequence of nonnegative integers.

For each l with  $1 \le l \le n - m$  it holds that

$$\sum_{i=1}^{l} r_i = \sum_{i=1}^{l} (s_{m+1} - km) = \sum_{i=1}^{l+m} s_i - \sum_{i=1}^{m} s_i - lam \ge k \binom{l+m}{2} - k \binom{m}{2} - lam = k \binom{l}{2}$$
(25.9)

with equality for l = n - m since by assumption

$$\sum_{i=1}^{l+m} s_i \ge a \binom{l+m}{2}, \quad \sum_{i=1}^{m} s_i = a \binom{m}{2}. \tag{25.10}$$

Therefore the sequence  $R_{n-m}$  fulfils condition (25.8), by the minimality of n,  $R_{n-m}$  is the score sequence of some (a, a, n-m)-tournament  $T_2$ . By forming the disjoint union of  $T_1$  and  $T_2$  we obtain a (a, a, n)-tournament T with score sequence  $S_n$  in contradiction to the assumption that  $S_n$  is counterexample.

Now we consider the case that the inequality in condition (25.8) is strict for each  $m, 1 \le m < n$ . This implies in particular  $s_1 > 0$ .

The sequence  $\overline{S}_n = (s-1, s_2, s_3, \dots, s_{n-1}, s_n)$  is a nondecreasing sequence of nonnegative integers which fulfils condition (25.8). Brecause of the minimality of  $S_n$ ,  $\overline{S}_n$  is the score sequence of some (a, a, n)-tournament  $T_3$ . Let udenote a vertex

of  $T_3$  with score  $s_n + 1$  and v a vertex of  $T_3$  with score  $S_1 - 1$ . Since u has maximum score in  $T_3$  there is a directed path P from u to v of length at most 2 according to Lemma 25.5. By reversing the arcs of the path P we obtain a (a, a, n)-tournament T with score sequence  $S_n$ . This contradiction completes the proof.

# **25.5.** Existence of an (a,b)-tournament with prescribed score sequence

#### 25.5.1. Existence of a tournament with arbitrary degree sequence

Since the numbers of points  $m_{ij}$  are not limited, it is easy to construct a  $(0, d_n, n)$ -tournament for any D.

**Lemma 25.6** If  $n \geq 2$ , then for any vector of nonnegative integers  $D = (d_1, d_2, \ldots, d_n)$  there exists a loopless directed multigraph T with outdegree vector D so, that  $E \leq d_n$ .

**Proof** Let  $m_{n1} = d_n$  and  $m_{i,i+1} = d_i$  for i = 1, 2, ..., n-1, and let the remaining  $m_{ij}$  values be equal to zero.

Using weighted graphs it would be easy to extend the definition of the (a, b, n)-tournaments to allow arbitrary real values of a, b, and D. The following algorithm NAIVE-CONSTRUCT works without changes also for input consisting of real numbers.

We remark that Ore in 1956 [85, 86, 87] gave the necessary and sufficient conditions of the existence of a tournament with prescribed indegree and outdegree vectors. Further Ford and Fulkerson [24, Theorem11.1] published in 1962 necessary and sufficient conditions of the existence of a tournament having prescribed lower and upper bounds for the indegree and outdegree of the vertices. They results also can serve as basis of the existence of a tournament having arbitrary outdegree sequence.

## 25.5.2. Definition of a naive reconstructing algorithm

```
Sorting of the elements of D is not necessary.

Input. n: the number of players (n \ge 2);

D = (d_1, d_2, \ldots, d_n): arbitrary sequence of nonnegative integer numbers.

Output. \mathcal{M} = [1..n, 1..n]: the point matrix of the reconstructed tournament.

Working variables. i, j: cycle variables.
```

```
Naive-Construct(n, D)

01 for i = 1 to n

02 for j = 1 to n

03 d_{ij} = 0

04 d_{n1} = d_n
```

```
05 for i = 1 to n - 1

06 d_{i,i+1} = d_i

07 return \mathcal{M}
```

The running time of this algorithm is  $\Theta(n^2)$  in worst case (in best case too). Since the point matrix  $\mathcal{M}$  has  $n^2$  elements, this algorithm is asymptotically optimal.

### **25.5.3.** Computation of e

This is also an easy question. From here we suppose that D is a nondecreasing sequence of nonnegative integers, that is  $0 \le d_1 \le d_2 \le ... \le d_n$ . Let  $h = \lceil d_n/(n-1) \rceil$ .

Since  $\Delta(D)$  is a finite set for any finite score vector D,  $e(D) = \min\{E(T)|T \in \Delta(D)\}$  exists.

**Lemma 25.7** (Iványi [48]) If  $n \geq 2$ , then for any sequence  $D = (d_1, d_2, \ldots, d_n)$  there exists a (0, b, n)-tournament T such that

$$E \le h \qquad and \quad b \le 2h, \tag{25.11}$$

and h is the smallest upper bound for e, and 2h is the smallest possible upper bound for b.

**Proof** If all players gather their points in a uniform as possible manner, that is

$$\max_{1 \le j \le n} m_{ij} - \min_{1 \le j \le n, \ i \ne j} m_{ij} \le 1 \quad \text{ for } i = 1, 2, \dots, n,$$
 (25.12)

then we get  $E \leq h$ , that is the bound is valid. Since player  $P_n$  has to gather  $d_n$  points, the pigeonhole principle [9, 10, 22] implies  $E \geq h$ , that is the bound is not improvable.  $E \leq h$  implies  $\max_{1 \leq i < j \leq n} m_{ij} + m_{ji} \leq 2h$ . The score sequence  $D = (d_1, d_2, \ldots, d_n) = (2n(n-1), 2n(n-1), \ldots, 2n(n-1))$  shows, that the upper bound  $b \leq 2h$  is not improvable.

Corollary 25.8 (Iványi [50]) If  $n \geq 2$ , then for any sequence  $D = (d_1, d_2, \ldots, d_n)$  holds  $e(D) = \lceil d_n/(n-1) \rceil$ .

**Proof** According to Lemma 25.7  $h = \lceil d_n/(n-1) \rceil$  is the smallest upper bound for e.

# 25.5.4. Definition of a construction algorithm

The following algorithm constructs a (0, 2h, n)-tournament T having  $E \leq h$  for any D.

Input. n: the number of players  $(n \ge 2)$ ;  $D = (d_1, d_2, \dots, d_n)$ : arbitrary sequence of nonnegative integer numbers.

Output.  $\mathcal{M} = [1..n, 1..n]$ : the point matrix of the tournament. Working variables. i, j, l: cycle variables;

k: the number of the "larger part" in the uniform distribution of the points.

PIGEONHOLE-CONSTRUCT(n, D)

```
01 for i = 1 to n
02
       m_{ii} = 0
        k = d_i - (n-1)|d_i/(n-1)|
03
        for j = 1 to k
04
05
            l = i + j \pmod{n}
            m_{il} = \lceil d_n/(n-1) \rceil
06
        for i = k + 1 to n - 1
07
            l = i + j \pmod{n}
08
            m_{il} = |d_n/(n-1)|
09
10 return \mathcal{M}
```

The running time of PIGEONHOLE-CONSTRUCT is  $\Theta(n^2)$  in worst case (in best case too). Since the point matrix  $\mathcal{M}$  has  $n^2$  elements, this algorithm is asymptotically optimal.

#### **25.5.5.** Computation of f and g

Let  $S_i$  (i = 1, 2, ..., n) be the sum of the first i elements of D,  $B_i$  (i = 1, 2, ..., n) be the binomial coefficient n(n-1)/2. Then the players together can have  $S_n$  points only if  $fB_n \geq S_n$ . Since the score of player  $P_n$  is  $d_n$ , the pigeonhole principle implies  $f \geq \lceil d_n/(n-1) \rceil$ .

These observations result the following lower bound for f:

$$f \ge \max\left(\left\lceil \frac{S_n}{B_n}\right\rceil, \left\lceil \frac{d_n}{n-1}\right\rceil\right)$$
 (25.13)

If every player gathers his points in a uniform as possible manner then

$$f \le 2 \left\lceil \frac{d_n}{n-1} \right\rceil. \tag{25.14}$$

These observations imply a useful characterisation of f.

**Lemma 25.9** (Ivanyi2009) If  $n \ge 2$ , then for arbitrary sequence  $\mathbf{d} = (d_1, d_2, \dots, d_n)$  there exists a (g, f, n)-tournament having D as its outdegree sequence and the following bounds for f and g:

$$\max\left(\left\lceil \frac{S}{B_n}\right\rceil, \left\lceil \frac{d_n}{n-1}\right\rceil\right) \le f \le 2 \left\lceil \frac{d_n}{n-1}\right\rceil, \tag{25.15}$$

$$0 \le g \le f. \tag{25.16}$$

**Proof** (25.15) follows from (25.13) and (25.14), (25.16) follows from the definition of f.

It is worth to remark, that if  $d_n/(n-1)$  is integer and the scores are identical, then the lower and upper bounds in (25.15) coincide and so Lemma 25.9 gives the exact value of F.

In connection with this lemma we consider three examples. If  $d_i = d_n = 2c(n-1)$  (c > 0,  $i = 1, 2, \ldots, n-1$ ), then  $d_n/(n-1) = 2c$  and  $S_n/B_n = c$ , that is  $S_n/B_n$  is twice larger than  $d_n/(n-1)$ . In the other extremal case, when  $d_i = 0$  ( $i = 1, 2, \ldots, n-1$ ) and  $d_n = cn(n-1) > 0$ , then  $d_n/(n-1) = cn$ ,  $S_n/B_n = 2c$ , so  $d_n/(n-1)$  is n/2 times larger, than  $S_n/B_n$ .

If D=(0,0,0,40,40,40), then Lemma 25.9 gives the bounds  $8 \leq f \leq 16$ . Elementary calculations show that Figure 25.2 contains the solution with minimal f, where f=10.

Player/Player	$P_1$	$P_2$	P <sub>3</sub>	$P_4$	$P_5$	$P_5$	Score
P <sub>1</sub>	_	0	0	0	0	0	0
$P_2$	0	_	0	0	0	0	0
P <sub>3</sub>	0	0	_	0	0	0	0
$P_4$	10	10	10	_	5	5	40
P <sub>5</sub>	10	10	10	5	_	5	40
P <sub>6</sub>	10	10	10	5	5		40

**Figure 25.2** Point matrix of a (0, 10, 6)-tournament with f = 10 for D = (0, 0, 0, 40, 40, 40).

In [48] we proved the following assertion.

**Theorem 25.10** (Ivanyi2009) For  $n \geq 2$  a nondecreasing sequence  $D = (d_1, d_2, ..., d_n)$  of nonnegative integers is the score sequence of some (a, b, n)-tournament if and only if

$$aB_k \le \sum_{i=1}^k d_i \le bB_n - L_k - (n-k)d_k \quad (1 \le k \le n),$$
 (25.17)

where

$$L_0 = 0$$
, and  $L_k = \max\left(L_{k-1}, bB_k - \sum_{i=1}^k d_i\right) \quad (1 \le k \le n).$  (25.18)

The theorem proved by Moon [78], and later by Kemnitz and Dolff [60] for (a, a, n)-tournaments is the special case a = b of Theorem 25.10. Theorem 3.1.4 of [55] is the special case a = b = 2. The theorem of Landau [69] is the special case a = b = 1 of Theorem 25.10.

08

09 return W

return W

#### 25.5.6. Definition of a testing algorithm

The following algorithm INTERVAL-TEST decides whether a given D is a score sequence of an (a, b, n)-tournament or not. This algorithm is based on Theorem 25.10 and returns W = TRUE if D is a score sequence, and returns W = FALSE otherwise.

```
Input. a: minimal number of points divided after each match;
b: maximal number of points divided after each match.
     Output. W: logical variable (W = \text{True shows that } D \text{ is an } (a, b, n)-tournament.
    Local working variable. i: cycle variable;
L = (L_0, L_1, \dots, L_n): the sequence of the values of the loss function.
     Global working variables. n: the number of players (n \geq 2);
D = (d_1, d_2, \dots, d_n): a nondecreasing sequence of nonnegative integers;
B = (B_0, B_1, \dots, B_n): the sequence of the binomial coefficients;
S = (S_0, S_1, \dots, S_n): the sequence of the sums of the i smallest scores.
Interval-Test(a, b)
01 for i = 1 to n
       L_i = \max(L_{i-1}, bB_n - S_i - (n-i)d_i)
02
       if S_i < aB_i
03
04
          W = \text{False}
          return W
05
          if S_i > bB_n - L_i - (n-i)d_i
06
             W \leftarrow \text{False}
07
```

In worst case INTERVAL-TEST runs in  $\Theta(n)$  time even in the general case 0 < a < b (n the best case the running time of INTERVAL-TEST is  $\Theta(n)$ ). It is worth to mention, that the often referenced Havel-Hakimi algorithm [38, 43] even in the special case a = b = 1 decides in  $\Theta(n^2)$  time whether a sequence D is digraphical or not.

## **25.5.7.** Definition of an algorithm computing f and g

The following algorithm is based on the bounds of f and g given by Lemma 25.9 and the logarithmic search algorithm described by D. E. Knuth [66, page 410].

```
Input. No special input (global working variables serve as input). Output. b: f (the minimal F);

a: g (the maximal G).

Local working variables. i: cycle variable;

l: lower bound of the interval of the possible values of F;

u: upper bound of the interval of the possible values of F.

Global working variables. n: the number of players (n \ge 2);

D = (d_1, d_2, \ldots, d_n): a nondecreasing sequence of nonnegative integers;

B = (B_0, B_1, \ldots, B_n): the sequence of the binomial coefficients;

S = (S_0, S_1, \ldots, S_n): the sequence of the sums of the i smallest scores;

W: logical variable (its value is TRUE, when the investigated D is a score sequence).
```

```
MINF-MAXG
01 B_0 = S_0 = L_0 = 0
                                                ▶ Initialization
02 for i = 1 to n
        B_i = B_{i-1} + i - 1
        S_i = S_{i-1} + d_i
05 l = \max(\lceil S_n/B_n \rceil, \lceil d_n/(n-1) \rceil)
06 \ u = 2 \left[ \frac{d_n}{(n-1)} \right]
07 W = True
                                                 \triangleright Computation of f
08 Interval-Test(0, l)
09 \text{ if } W == \text{True}
10 b = l
11
      go to 21
12 b = \lceil (l+u)/2 \rceil
13 Interval-Test(0, f)
14 if W == TRUE
15
      go to 17
16 \ l = b
17 if u == l + 1
     b = u
18
19
      go to 37
20 go to 14
21 l = 0
                                                  \triangleright Computation of g
22 \ u = f
23 Interval-Test(b, b)
24 \text{ if } W == \text{True}
25
      a \leftarrow f
26
      go to 37
27 \ a = \lceil (l+u)/2 \rceil
28 Interval-Test(0, a)
29 if W == TRUE
30
    l \leftarrow a
31
      go to 33
32 \ u = a
33 if u == l + 1
      a = l
34
      go to 37
36 go to 27
39 \text{ return } a, b
```

MINF-MAXG determines f and g.

**Lemma 25.11** (Ivanyi2010) Algorithm MinG-MaxG computes the values f and g for arbitrary sequence  $D = (d_1, d_2, \ldots, d_n)$  in  $O(n \log(d_n/(n)))$  time.

**Proof** According to Lemma 25.9 F is an element of the interval  $[\lceil d_n/(n-1) \rceil, \lceil 2d_n/(n-1) \rceil]$  and g is an element of the interval [0, f]. Using Theorem B of [66, page 412] we get that  $O(\log(d_n/n))$  calls of INTERVAL-TEST is sufficient, so the O(n)

run time of Interval-Test implies the required running time of Minf-MaxG.

#### **25.5.8.** Computing of f and g in linear time

Analysing Theorem 25.10 and the work of algorithm MinF-MaxG one can observe that the maximal value of G and the minimal value of F can be computed independently by Linear-MinF-MaxG.

```
Input. No special input (global working variables serve as input).
     Output. b: f (the minimal F).
a: q (the maximal G).
     Local working variables. i: cycle variable.
     Global working variables. n: the number of players (n \geq 2);
D = (d_1, d_2, \dots, d_n): a nondecreasing sequence of nonnegative integers;
B = (B_0, B_1, \dots, B_n): the sequence of the binomial coefficients;
S = (S_0, S_1, \dots, S_n): the sequence of the sums of the i smallest scores.
LINEAR-MINF-MAXG
01 \ B_0 = S_0 = L_0 = 0
                                          ▶ Initialization
02 for i = 1 to n
       B_i = B_{i-1} + i - 1
       S_i = S_{i-1} + d_i
05 \ a = 0
06 \ b = \min 2 \left[ d_n / (n-1) \right]
07 for i = 1 to n
                                            \triangleright Computation of q
       a_i = \lceil (2S_i/(n^2 - n) \rceil) < a
09
       if a_i > a
10
       a = a_i
                                               \triangleright Computation of f
11 for i = 1 to n
       L_i = \max(L_{i-1}, bB_n - S_i - (n-i)d_i
       b_i = (S_i + (n-i)d_i + L_i)/B_i
13
14
       if b_i < b
15
       b = b_i
16 return a, b
```

**Lemma 25.12** Algorithm Linear-MinG-MaxG computes the values f and g for arbitrary sequence  $D = (d_1, d_2, \ldots, d_n)$  in  $\Theta(n)$  time.

**Proof** Lines 01–03, 07, and 18 require only constant time, lines 04–06, 09–12, and 13–17 require  $\Theta(n)$  time, so the total running time is  $\Theta(n)$ .

# **25.6.** Tournament with f and g

The following reconstruction algorithm Score-Slicing is based on balancing between additional points (they are similar to "excess", introduced by Brauer et al.

[16]) and missing points introduced in [48]. The greediness of the algorithm Havel–Hakimi [38, 43] also characterises this algorithm.

This algorithm is an extended version of the algorithm Score-Slicing proposed in [48].

#### 25.6.1. Definition of the minimax reconstruction algorithm

The work of the slicing program is managed by the following program MINI-MAX. Input. n: the number of players  $(n \ge 2)$ ;

```
D = (d_1, d_2, \dots, d_n): a nondecreasing sequence of integers satisfying (25.17).
```

Output.  $\mathcal{M} = [1 \dots n, 1 \dots n]$ : the point matrix of the reconstructed tournament. Local working variables. i, j: cycle variables.

Global working variables.  $p = (p_0, p_1, \dots, p_n)$ : provisional score sequence;

 $P = (P_0, P_1, \dots, P_n)$ : the partial sums of the provisional scores;

 $\mathcal{M}[1 \dots n, 1 \dots n]$ : matrix of the provisional points.

```
Mini-Max(n, D)
01 MINF-MAXG(n, D)
                                              ▶ Initialization
02 p_0 = 0
03 P_0 = 0
04 for i = 1 to n
05
       for i = 1 to i - 1
06
            \mathcal{M}[i,j] = b
07
            for j = i to n
08
                 \mathcal{M}[i,j] = 0
09
       p_i = d_i
10 if n \ge 3
                                                     \triangleright Score slicing for n \ge 3 players
11
      for k = n downto 3
12
           Score-Slicing2(k)
13 if n == 2
                                                       \triangleright Score slicing for 2 players
14
      m_{1,2} = p_1
15
      m_{2,1} = p_2
16 return M
```

## 25.6.2. Definition of the score slicing algorithm

The key part of the reconstruction is the following algorithm Score-Slicing [48]. During the reconstruction process we have to take into account the following bounds:

$$a \le m_{i,j} + m_{j,i} \le b \quad (1 \le i < j \le n);$$
 (25.19)

modified scores have to satisfy 
$$(25.17)$$
;  $(25.20)$ 

$$m_{i,j} \le p_i \ (1 \le i, \ j \le n, i \ne j);$$
 (25.21)

the monotonicity  $p_1 \le p_2 \le \ldots \le p_k$  has to be saved  $(1 \le k \le n)$  (25.22)

$$m_{ii} = 0 \quad (1 \le i \le n). \tag{25.23}$$

Input. k: the number of the actually investigated players (k > 2);

 $p_k = (p_0, p_1, p_2, \dots, p_k)$   $(k = 3, 4, \dots, n)$ : prefix of the provisional score sequence p;

 $\mathcal{M}[1 \dots n, 1 \dots n]$ : matrix of provisional points;

Output. Local working variables.  $A = (A_1, A_2, \dots, A_n)$  the number of the additional points;

M: missing points: the difference of the number of actual points and the number of maximal possible points of  $P_k$ ;

 $d\!:$  difference of the maximal decreasable score and the following largest score;

y: number of sliced points per player;

f: frequency of the number of maximal values among the scores  $p_1, p_2, \ldots, p_{k-1}$ ;

i, j: cycle variables;

m: maximal amount of sliceable points;

 $P = (P_0, P_1, \dots, P_n)$ : the sums of the provisional scores;

x: the maximal index i with i < k and  $m_{i,k} < b$ .

Global working variables: n: the number of players  $(n \ge 2)$ ;

 $B = (B_0, B_1, B_2, \dots, B_n)$ : the sequence of the binomial coefficients;

a: minimal number of points divided after each match;

b: maximal number of points divided after each match.

```
Score-Slicing2(k)
```

```
01 for i = 1 to k - 1
                                       ▶ Initialization
       P_i = P_{i-1} + p_i
       A_i = P_i - aB_i
03
04 M = (k-1)b - p_k
05 while M > 0 and A_{k-1} > 0 \triangleright There are missing and additional points
           x = k - 1
06
           while r_{x,k} = b
07
                  x = x - 1
08
09
           f=1
10
           while p_{x-f+1} = p_{x-f}
                  f = f + 1
11
12
           d = p_{x-f+1} - p_{x-f}
13
           m = \min(b, d, \lceil A_x/b \rceil, \lceil M/b \rceil)
14
           for i = f downto 1
15
               y = \min(b - r_{x+1-i,k}, m, M, A_{x+1-i}, p_{x+1-i})
16
               r_{x+1-i,k} = r_{x+1-i,k} + y
17
               p_{x+1-i} = p_{x+1-i} - y
18
               r_{k,x+1-i} = b - r_{x+1-i,k}
19
                 M = M - y
               for i = i downto 1
20
21
                   A_{x+1-i} = A_{x+1-i} - y
22 while M>0
                                        No missing points
```

```
23 i = k - 1

24 y = \max(m_{ki} + m_{ik} - a, m_{ki}, M)

25 r_{ki} = r_{ki} - y

26 M = M - y

27 i = i - 1

28 return \pi_k, M
```

Let's consider an example. Figure 25.3 shows the point table of a (2, 10, 6)-tournament T.

Player/Player	$P_1$	$P_2$	P <sub>3</sub>	$P_4$	$P_5$	P <sub>6</sub>	Score
$P_1$	_	1	5	1	1	1	09
$P_2$	1	_	4	2	0	2	09
$P_3$	3	3	_	5	4	4	19
$P_4$	8	2	5	_	2	3	20
P <sub>5</sub>	9	9	5	7	_	2	32
$P_6$	8	7	5	6	8	_	34

Figure 25.3 The point table of a (2, 10, 6)-tournament T.

The score sequence of T is D = (9,9,19,20,32,34). In [48] the algorithm Score-Slicing resulted the point table represented in Figure 25.4.

Player/Player	$P_1$	$P_2$	P <sub>3</sub>	$P_4$	$P_5$	P <sub>6</sub>	Score
$P_1$	_	1	1	6	1	0	9
$P_2$	1	_	1	6	1	0	9
P <sub>3</sub>	1	1	_	6	8	3	19
$P_4$	3	3	3	_	8	3	20
$P_5$	9	9	2	2		10	32
P <sub>6</sub>	10	10	7	7	0		34

Figure 25.4 The point table of T reconstructed by Score-Slicing2.

The algorithm Mini-Max starts with the computation of f. MinF-MaxG called in line 01 begins with initialization, including provisional setting of the elements of  $\mathcal{M}$  so, that  $m_{ij} = b$ , if i > j, and  $m_{ij} = 0$  otherwise. Then MinF-MaxG sets the lower bound  $l = \max(9,7) = 9$  of f in line 07 and tests it in line 10 Interval-Test. The test shows that l = 9 is large enough so Mini-Max sets b = 9 in line 12 and jumps to line 23 and begins to compute g. Interval-Test called in line 25 shows that a = 9 is too large, therefore MinF-MaxG continues with the test of a = 5 in line 30. The result is positive, therefore comes the test of a = 7, then the test of a = 8. Now u = l + 1 in line 35, so a = 8 is fixed, and the control returns to line 02 of Mini-Max.

Lines 02-09 contain initialization, and MINI-MAX begins the reconstruction of a (8, 9, 6)-tournament in line 10. The basic idea is that MINI-MAX successively de-

termines the won and lost points of  $P_6$ ,  $P_5$ ,  $P_4$  and  $P_3$  by repeated calls of Score-Slicing2 in line 12, and finally it computes directly the result of the match between  $P_2$  and  $P_1$ .

At first MINI-MAX computes the results of  $P_6$  calling calling SCORE-SLICING2 with parameter k=6. The number of additional points of the first five players is  $A_5=89-8\cdot 10=9$  according to line 03, the number of missing points of  $P_6$  is  $M=5\cdot 9-34=11$  according to line 04. Then SCORE-SLICING2 determines the number of maximal numbers among the provisional scores  $p_1, p_2, \ldots, p_5$  (f=1 according to lines 09–14) and computes the difference between  $p_5$  and  $p_4$  (d=12 according to line 12). In line 13 we get, that m=9 points are sliceable, and  $P_5$  gets these points in the match with  $P_6$  in line 16, so the number of missing points of  $P_6$  decreases to M=11-9=2 (line 19) and the number of additional point decreases to A=9-9=0. Therefore the computation continues in lines 22–27 and  $m_{64}$  and  $m_{63}$  will be decreased by 1 resulting  $m_{64}=8$  and  $m_{63}=8$  as the seventh line and seventh column of Figure 25.5 show. The returned score sequence is p=(9,9,19,20,23).

Player/Player	$P_1$	$P_2$	P <sub>3</sub>	$P_4$	$P_5$	P <sub>6</sub>	Score
$P_1$	_	4	4	0	0	0	9
$P_2$	4	_	4	1	0	0	9
P <sub>3</sub>	4	4	_	7	4	0	19
$P_4$	7	7	1	_	5	0	20
$P_5$	8	8	4	3	_	9	32
P <sub>6</sub>	9	9	8	8	0	_	34

Figure 25.5 The point table of T reconstructed by Mini-Max.

Second time MINI-MAX calls Score-SLICING2 with parameter k=5, and get  $A_4=9$  and M=13. At first  $A_4$  gets 1 point, then  $A_3$  and  $A_4$  get both 4 points, reducing M to 4 and  $A_4$  to 0. The computation continues in line 22 and results the further decrease of  $m_{54}$ ,  $m_{53}$ ,  $m_{52}$ , and  $m_{51}$  by 1, resulting  $m_{54}=3$ ,  $m_{53}=4$ ,  $m_{52}=8$ , and  $m_{51}=8$  as the sixth row of Figure 25.5 shows.

Third time MINI-MAX calls Score-Slicing with parameter k=4, and get  $A_3=11$  and M=11. At first P<sub>3</sub> gets 6 points, then P<sub>3</sub> further 1 point, and P<sub>2</sub> and P<sub>1</sub> also both get 1 point, resulting  $m_{34}=7$ ,  $m_{43}=2$ ,  $m_{42}=8$ ,  $m_{24}=1$ ,  $m_{14}=1$  and  $m_{14}=8$ , further  $A_3=0$  and M=2. The computation continues in lines 22–27 and results a decrease of  $m_{43}$  by 1 point resulting  $m_{43}=1$ ,  $m_{42=8}$ , and  $m_{41}=8$ , as the fifth row and fifth column of Figure 25.5 show. The returned score sequence is p=(9,9,15).

Fourth time MINI-MAX calls SCORE-SLICING2 with parameter k=3, and gets  $A_2=10$  and M=9. At first P<sub>2</sub> gets 6 points, then ... The returned point vector is p=(4,4).

Finally MINI-MAX sets  $m_{12} = 4$  and  $m_{21} = 4$  in lines 14–15 and returns the point matrix represented in Figure 25.5.

The comparison of Figures 25.4 and 25.5 shows a large difference between the

simple reconstruction of Score-Slicing2 and the minimax reconstruction of Minimax: while in the first case the maximal value of  $m_{ij} + m_{ji}$  is 10 and the minimal value is 2, in the second case the maximum equals to 9 and the minimum equals to 8, that is the result is more balanced (the given D does not allow to build a perfectly balanced (k, k, n)-tournament).

#### 25.6.3. Analysis of the minimax reconstruction algorithm

The main result of this paper is the following assertion.

**Theorem 25.13** (Iványi [50]) If  $n \ge 2$  is a positive integer and  $\mathbf{q} = (q_1, q_2, \dots, q_n)$  is a nondecreasing sequence of nonnegative integers, then there exist positive integers f and g, and a (g, f, n)-tournament T with point matrix  $\mathcal{M}$  such, that

$$f = \min(m_{ij} + m_{ji}) \le b, (25.24)$$

$$g = \max m_{ij} + m_{ji} \ge a \tag{25.25}$$

for any (a,b,n)-tournament, and algorithm LINEAR-MINF-MAXG computes f and g in  $\Theta(n)$  time, and algorithm MINI-MAX generates a suitable T in  $O(q_n n^2)$  time.

**Proof** The correctness of the algorithms Score-Slicing2, MinF-MaxG implies the correctness of Mini-Max.

Lines 1–46 of MINI-MAX require  $O(\log(d_n/n))$  uses of MING-MAXF, and one search needs O(n) steps for the testing, so the computation of f and g can be executed in  $O(n\log(q_n/n))$  times.

The reconstruction part (lines 47–55) uses algorithm SCORE-SLICING2, which runs in  $O(bn^3)$  time [48]. MINI-MAX calls SCORE-SLICING2 n-2 times with  $f \le 2\lceil d_n/n \rceil$ , so  $n^3q_n/n = q_nn^2$  finishes the proof.

The property of the tournament reconstruction problem that the extremal values of f and g can be determined independently and so there exists a tournament T having both extremal features is called linking property. One of the earliest occurrences appeared in a paper Mendelsohn and Dulmage [73]. It was formulated by Ford and Fulkerson [24, page 49] in a theorem on the existence of integral matrices for which the row-sums and the column-sums lie between specified bounds. The concept was investigated in detail in the book written by Mirsky [76]. A. Frank used this property in the analysis of different different problems of combinatorial optimization [25, 29].

# **25.7.** Imbalances in (0, b, n)-tournaments

A (0, b, n)-tournament is a digraph in which multiarcs multiarcs are permitted, and which has no loops [36].

At first we consider the special case b = 0, then the (0, b, n)-tournaments.

#### **25.7.1.** Imbalances in (0, 1, n)-tournaments.

A (0,1,n)-tournament is a directed graph (shortly digraph) without loops and without multiple arcs is also called **a simple digraph** [36]. The **imbalance** of a vertex  $v_i$  in a digraph as  $b_{v_i}$  (or simply  $b_i$ ) =  $d_{v_i}^+ - d_{v_i}^-$ , where  $d_{v_i}^+$  and  $d_{v_i}^-$  are respectively the outdegree and indegree of  $v_i$ . The **imbalance sequence** of a simple digraph is formed by listing the vertex imbalances in nonincreasing order. A sequence of integers  $F = [f_1, f_2, \ldots, f_n]$  with  $f_1 \geq f_2 \geq \ldots \geq f_n$  is **feasible** if the

sum of its elements is zero, and satisfies  $\sum_{i=1}^{k} f_i \leq k(n-k)$ , for  $1 \leq k < n$ .

The following result provides a necessary and sufficient condition for a sequence of integers to be the imbalance sequence of a simple digraph.

**Theorem 25.14** Mubayi, Will, West [80] A sequence is realizable as an imbalance sequence of a(0,1,n)-tournament if and only if it is feasible.

The above result is equivalent to saying that a sequence of integers  $B = [b_1, \ldots, b_n]$  with  $b_1 \geq b_2 \geq \ldots \geq b_n$  is an imbalance sequence of a (0, 1, n)-tournament if and only if

$$\sum -i = 1^k b_i \le k(n-k), \tag{25.26}$$

for  $1 \le k < n$ , with equality when k = n.

On arranging the imbalance sequence in non-decreasing order, we have the following observation.

**Corollary 25.15** A sequence of integers  $B = [b_1, ..., b_n]$  with  $b_1 \le b_2 \le ... \le b_n$  is an imbalance sequence of a (0, 1, n)-tournament if and only if

$$\sum_{i=1}^{k} b_i \ge k(k-n),$$

for  $1 \le k < n$  with equality when k = n.

Various results for imbalances in different tournaments can be found in [48, 50, 95, 96].

# **25.7.2.** Imbalances in (0,2,n)-tournaments

A (0,b,n)-tournament is a a digraph in which multiarcss are permitted, and which has no loops [36]. If  $b \geq 2$  then a (0,b,n)-tournament is an orientation of a multigraph that is without loops and contains at most b edges between the elements of any pair of distinct vertices. Let T be a (0,b,n)-tournament with vertex set  $V = \{v_1,\ldots,v_n\}$ , and let  $d_v^+$  and  $d_v^-$  respectively denote the outdegree and indegree of vertex v. Define

 $b_{v_i}$  (or simply  $b_i$ ) =  $d_{v_i}^+ - d_{u_i}^-$  as imbalance of  $v_i$ . Clearly,  $-r(n-1) \leq b_{v_i} \leq r(n-1)$ . The imbalance sequence of D is formed by listing the vertex imbalances in nondecreasing order.

We remark that (0, b, n)-digraphs are special cases of (a, b)-digraphs containing at least a and at most b edges between the elements of any pair of vertices. Degree sequences of (0, b, n)-tournaments were studied by Mubayi, West, Will [80] and Pirzada, Naikoo and Shah [95].

Let u and v be distinct vertices in T. If there are f arcs directed from u to v and g arcs directed from v to u, we denote this by u(f-g)v, where  $0 \le f, g, f+g \le r$ .

A double in T is an induced directed subgraph with two vertices u, and v having the form  $u(f_1-f_2)v$ , where  $1 \leq f_1, f_2 \leq r$ , and  $1 \leq f_1+f_2 \leq r$ , and  $f_1$  is the number of arcs directed from u to v, and  $f_2$  is the number of arcs directed from v to u. A triple in D is an induced subgraph with tree vertices u, v, and w having the form  $u(f_1-f_2)v(g_1-g_2)w(h_1-h_2)u$ , where  $1 \leq f_1, f_2, g_1, g_2, h_1, h_2 \leq r$ , and  $1 \leq f_1+f_2, g_1+g_2, h_1+h_2 \leq b$ , and the meaning of  $f_1, f_2, g_1, g_2, h_1, h_2$  is similar to the meaning in the definition of doubles. An oriented triple in D is an induced subdigraph with three vertices. An oriented triple is said to be transitive if it is of the form u(1-0)v(1-0)w(0-1)u, or u(1-0)v(0-1)w(0-0)u, or u(1-0)v(0-0)w(0-1)u, or u(1-0)v(0-0)w(0-0)u, otherwise it is intransitive. An v-graph is said to be transitive if all its oriented triples are transitive. In particular, a triple v in an v-graph is transitive if every oriented triple of v is transitive.

The following observation can be easily established and is analogues to Theorem 2.2 of Avery [3].

**Lemma 25.16** (Avery1991) If  $T_1$  and  $T_2$  are two (0,b,n)-tournaments with same imbalance sequence, then  $T_1$  can be transformed to  $T_2$  by successively transforming (i) appropriate oriented triples in one of the following ways, either (a) by changing the intransitive oriented triple u(1-0)v(1-0)w(1-0)u to a transitive oriented triple u(0-0)v(0-0)w(0-0)u, which has the same imbalance sequence or vice versa, or (b) by changing the intransitive oriented triple u(1-0)v(1-0)w(0-0)u to a transitive oriented triple u(0-0)v(0-0)w(0-1)u, which has the same imbalance sequence or vice versa; or (ii) by changing a double u(1-1)v to a double u(0-0)v, which has the same imbalance sequence or vice versa.

The above observations lead to the following result.

**Theorem 25.17** Among all (0, b, n)-tournaments with given imbalance sequence, those with the fewest arcs are transitive.

**Proof** Let **b** be an imbalance sequence and let T be a realization of **b** that is not transitive. Then T contains an intransitive oriented triple. If it is of the form u(1-0)v(1-0)w(1-0)u, it can be transformed by operation i(a) of Lemma 25.16 to a transitive oriented triple u(0-0)v(0-0)w(0-0)u with the same imbalance sequence and three arcs fewer. If T contains an intransitive oriented triple of the form u(1-0)v(1-0)w(0-0)u, it can be transformed by operation i(b) of Lemma 25.16

to a transitive oriented triple u(0-0)v(0-0)w(0-1)u same imbalance sequence but one arc fewer. In case T contains both types of intransitive oriented triples, they can be transformed to transitive ones with certainly lesser arcs. If in T there is a double u(1-1)v, by operation (ii) of Lemma 25.16, it can be transformed to u(0-0)v, with same imbalance sequence but two arcs fewer.

The next result gives necessary and sufficient conditions for a sequence of integers to be the imbalance sequence of some (0, b, n)-tournament.

**Theorem 25.18** (Pirzada, Naiko, Samee, Iványi [96]Ivanyi) A nondecreasing sequence  $\mathbf{b} = [b_1, \dots, b_n]$  of integers is an imbalance sequence of a (0, b, n)-tournament if and only if

$$\sum_{i=1}^{k} b_i \ge bk(k-n),\tag{25.27}$$

with equality when k = n.

**Proof Necessity.** A subtournament induced by k vertices has a sum of imbalances at least bk(k-n).

**Sufficiency.** Assume that  $\mathbf{b} = [b_1, \dots, b_n]$  is a nonincreasing sequence of integers satisfying conditions (25.27) but is not the imbalance sequence of any (0, b, n)-tournament. Let this sequence be chosen in such a way that n is the smallest possible and  $b_1$  is the least with that choice of n. We consider the following two cases.

Case (i). Suppose equality in (25.27) holds for some  $k \leq n$ , so that

$$\sum_{i=1}^{k} b_i = bk(k-n), \tag{25.28}$$

for  $1 \le k < n$ .

By minimality of n,  $B_1 = (b_1, \ldots, b_k)$  is the imbalance sequence of some (0, b, n)-tournament  $T_1$  with vertex set, say  $V_1$ . Let  $\mathbf{b}_2 = (b_{k+1}, b_{k+2}, \ldots, b_n)$ . Consider,

$$\sum_{i=1}^{f} b_{k+i} = \sum_{i=1}^{k+f} b_i - \sum_{i=1}^{k} b_i$$

$$\geq b(k+f)[(k+f)-n] - bk(k-n)$$

$$= b(k_2 + kf - kn + fk + f_2 - fn - k_2 + kn)$$

$$\geq r(f_2 - fn)$$

$$= rf(f-n),$$
(25.29)

for  $1 \le f \le n - k$ , with equality when f = n - k. Therefore, by the minimality for n, the sequence  $\mathbf{b}_2$  forms the imbalance sequence of some (0, b, n)-tournament  $T_2$  with vertex set, say  $V_2$ . Construct a new (0, b, n)-tournament T with vertex set as

follows.

Let  $V = V_1 \cup V_2$  with,  $V_1 \cap V_2 = \phi$  and the arc set containing those arcs which are in  $T_1$  and  $T_2$ . Then we obtain the (0, b, n)-tournament T with the imbalance sequence  $\mathbf{b}$ , which is a contradiction.

Case (ii). Suppose that the strict inequality holds in (25.27) for some k < n, so that

$$\sum_{i=1}^{k} q_i > bk(k-n), \tag{25.30}$$

for  $1 \leq k < n$ . Let  $\mathbf{b}_1 = (q_1 - 1, q_2, \dots, q_{n-1}, q_n + 1]$ , so that  $\mathbf{b}_1$  satisfies the conditions (25.27). Thus by the minimality of  $b_1$ , the sequences  $\mathbf{b}_1$  is the imbalances sequence of some (0, b, n)-tournament  $T_1$  with vertex set, say  $V_1$ ). Let  $b_{v_1} = b_1 - 1$  and  $b_{v_n} = a_n + 1$ . Since  $b_{v_n} > b_{v_1} + 1$ , there exists a vertex  $v_p \in V_1$  such that  $v_n(0-0)v_p(1-0)v_1$ , or  $v_n(1-0)v_p(0-0)v_1$ , or  $v_n(1-0)v_p(1-0)v_1$ , or  $v_n(0-0)v_p(0-0)v_1$ , and if these are changed to  $v_n(0-1)v_p(0-0)v_1$ , or  $v_n(0-0)v_p(0-1)v_1$ , or  $v_n(0-1)v_p(0-1)v_1$  respectively, the result is a (0,b,n)-tournament with imbalances sequence  $\mathbf{b}$ , which is again a contradiction. This proves the result.

Arranging the imbalance sequence in nonincreasing order, we have the following observation.

**Corollary 25.19** A nondecreasing sequence  $\mathbf{q} = (q_1, \dots, q_n)$  of integers is an imbalance sequence of a (0, b, n)-tournament if and only if

$$\sum_{i=1}^{k} q_i \le bk(n-k),$$

for  $1 \le k \le n$ , with equality when k = n.

The **converse of a** (0, b, n)-**tournament** T is a (0, b, n)-graph T', obtained by reversing orientations of all arcs of T. If  $\mathbf{q} = (q_1, \ldots, q_n]$  with  $q_1 \leq 2 \leq \ldots \leq b_n$  is the imbalance sequence of a (0, b, n)-tournament T, then  $\mathbf{q}' = (-q_n, -q_{n-1}, \ldots, -q_1]$  is the imbalance sequence of T'.

The next result gives lower and upper bounds for the imbalance  $b_i$  of a vertex  $v_i$  in a (0, b, n)-tournament T.

**Theorem 25.20** If  $\mathbf{q} = (q_1, \dots, b_n)$  is an imbalance sequence of a (0, b, n)-tournament T, then for each i

$$b(i-n) \le q_i \le b(i-1). \tag{25.31}$$

**Proof** Assume to the contrary that  $q_i < b(i-n)$ , so that for k < i,

$$q_k \le q_i < b(i-n). \tag{25.32}$$

That is,

$$q_1 < b(i-n), q_2 < b(i-n), \dots, b_i < b(i-n).$$
 (25.33)

Adding these inequalities, we get

$$\sum_{k=1}^{i} q_k < bi(i-n), \tag{25.34}$$

which contradicts Theorem 25.18.

Therefore,  $b(i-n) \leq q_i$ .

The second inequality is dual to the first. In the converse (0, b, n)-tournament with imbalance sequence  $\mathbf{q} = (q'_1, q'_2, \dots, q'_n)$  we have, by the first inequality

$$q'_{n-i+1} \ge b[(n-i+1)-n]$$

$$= b(-i+1).$$
(25.35)

Since  $b_i = -b'_{n-i+1}$ , therefore

$$q_i \le -b(-i+1) = b(i-1).$$

Hence,  $q_i \leq b(i-1)$ .

Now we obtain the following inequalities for imbalances in (0, b, n)-tournament.

**Theorem 25.21** If  $\mathbf{q} = (q_1, \dots, q_n)$  is an imbalance sequence of a (0, b, n)-tournament with  $q_1 \geq q_2 \geq \dots \geq q_n$ , then

$$\sum_{i=1}^{k} q_i^2 \le \sum_{i=1}^{k} (2bn - 2bk - q_i)^2, \tag{25.36}$$

for  $1 \le k \le n$  with equality when k = n.

**Proof** By Theorem 25.18, we have for  $1 \le k \le n$  with equality when k = n

$$bk(n-k) \ge \sum_{i=1}^{k} q_i,$$
 (25.37)

implying

$$\sum_{i=1}^{k} q_i^2 + 2(2bn - 2bk)bk(n - k) \ge \sum_{i=1}^{k} b_i^2 + 2(2bn - 2bk)\sum_{i=1}^{k} q_i,$$

from where

$$\sum_{i=1}^{k} q_i^2 + k(2bn - 2bk)^2 - 2(2bn - 2bk) \sum_{i=1}^{k} q_i \ge \sum_{i=1}^{k} q_i^2,$$
 (25.38)

and so we get the required

$$q_1^2 + q_2^2 + \ldots + q_k^2 + (2bn - 2bk)^2 + (2bn - 2bk)^2 + \ldots + (2bn - 2bk)^2 - 2(2bn - 2bk)q_1 - 2(2bn - 2bk)b_2 - \ldots - 2(2bn - 2bk)b_k$$

$$\geq \sum_{i=1}^k q_i^2,$$
(25.39)

or

$$\sum_{i=1}^{k} (2bn - 2bk - q_i)^2 \ge \sum_{i=1}^{k} q_i^2.$$
 (25.40)

# 25.8. Supertournaments

In Section ?? we defined the  $(\mathbf{a}, \mathbf{b}, \mathbf{k}, m, n)$ -supertournaments.

Now at first we present some results on the special case m=1, that is on the hypertournaments.

## 25.8.1. Hypertournaments

Hypergraphs are generalizations of graphs [11, 12]. While edges of a graph are pairs of vertices of the graph, edges of a hypergraph are subsets of the vertex set, consisting of at least two vertices. An edge consisting of k vertices is called a k-edge. A k-hypergraph is a hypergraph all of whose edges are k-edges. A k-hypertournament is a complete k-hypergraph with each k-edge endowed with an orientation, that is, a linear arrangement of the vertices contained in the hyperedge. Instead of scores of vertices in a tournament, Zhou et al. [137] considered scores and losing scores of vertices in a k-hypertournament, and derived a result analogous to Landau's theorem [69]. The score  $s(v_i)$  or  $s_i$  of a vertex  $v_i$  is the number of arcs containing  $v_i$  and in which  $v_i$  is not the last element, and the losing score  $r(v_i)$  or  $r_i$  of a vertex  $v_i$  is the number of arcs containing  $v_i$  and in which  $v_i$  is the last element. The score sequence (losing score sequence) is formed by listing the scores (losing scores) in non-decreasing order.

The following characterizations of score sequences and losing score sequences in k-hypertournaments can be found in G. Zhou et al. [138].

**Theorem 25.22** Zhou, Zhao, Yang [138] Given two non-negative integers n and k with  $n \ge k > 1$ , a nondecreasing sequence  $\mathbf{q} = [q_1, \dots, q_n]$  of nonnegative integers is a losing score sequence of some k-hypertournament if and only if for each j,

$$\sum_{i=1}^{j} q_i \ge \binom{j}{k},\tag{25.41}$$

with equality when j = n.

**Proof** See [138].

**Theorem 25.23** Zhou, Zhao, Yang [138] Given two positive integers n and k with  $n \ge k > 1$ , a nondecreasing sequence  $\mathbf{q} = (q_1, \dots, q_n)$  of nonnegative integers is a score sequence of some  $(0, \infty, k, n)k$ -hypertournament if and only if for each j,

$$\sum_{i=1}^{j} q_i \ge j \binom{n-1}{k-1} + \binom{n-j}{k} - \binom{n}{k}, \qquad (25.42)$$

with equality when j = n.

**Proof** See [138].

Some more results on k-hypertournaments can be found in [17, 67, 92, 93, 137]. The analogous results of Theorem ?? and Theorem ?? for [h, k]-bipartite hypertournaments can be found in [91] and for  $[\alpha, \beta, \gamma]$ -tripartite hypertournaments can be found in [97].

Throughout this subsection i takes values from 1 to k and  $j_i$  takes values from 1 to  $n_i$ , unless otherwise stated.

A k-partite hypertournament is a generalization of k-partite graphs (and k-partite tournaments). Given non-negative integers  $n_i$  and  $\alpha_i$ , (i = 1, 2, ..., k) with  $n_i \geq \alpha_i \geq 1$  for each i, an  $[\alpha_1, \alpha_2, ..., \alpha_k]$ -k-partite hypertournament (or briefly k-partite hypertournament) M of order  $\sum_1^k n_i$  consists of k vertex sets  $U_i$  with  $|U_i| = n_i$  for each i,  $(1 \leq i \leq k)$  together with an arc set E, a set of  $\sum_1^k \alpha_i$  tuples of vertices, with exactly  $\alpha_i$  vertices from  $U_i$ , called arcs such that any  $\sum_1^k \alpha_i$  subset  $\bigcup_1^k U_i'$  of  $\bigcup_1^k U_i$ , E contains exactly one of the  $\left(\sum_1^k \alpha_i\right) \sum_1^k \alpha_i$ -tuples whose  $\alpha_i$  entries belong to  $U_i'$ .

Let  $e = (u_{11}, u_{12}, \ldots, u_{1\alpha_1}, u_{21}, u_{22}, \ldots, u_{2\alpha_2}, \ldots, u_{k1}, u_{k2}, \ldots, u_{k\alpha_k})$ , with  $u_{ij_i} \in U_i$  for each i,  $(1 \le i \le k, 1 \le j_i \le \alpha_i)$ , be an arc in M and let h < t, we let  $e(u_{1h}, u_{1t})$  denote to be the new arc obtained from e by interchanging  $u_{1h}$  and  $u_{1t}$  in e. An arc containing  $\alpha_i$  vertices from  $U_i$  for each i,  $(1 \le i \le k)$  is called an  $(\alpha_1, \alpha_2, \ldots, \alpha_k)$ -arc.

For a given vertex  $u_{ij_i} \in U_i$  for each  $i, 1 \leq i \leq k$  and  $1 \leq j_i \leq \alpha_i$ , the score  $d_M^+(u_{ij_i})$  (or simply  $d^+(u_{ij_i})$ ) is the number of  $\sum_1^k \alpha_i$ -arcs containing  $u_{ij_i}$  and in which  $u_{ij_i}$  is not the last element. The losing score  $d_M^-(u_{ij_i})$  (or simply  $d^-(u_{ij_i})$ ) is the number of  $\sum_1^k \alpha_i$ -arcs containing  $u_{ij_i}$  and in which  $u_{ij_i}$  is the last element. By arranging the losing scores of each vertex set  $U_i$  separately in non-decreasing order, we get k lists called losing score lists of M and these are denoted by  $R_i = [r_{ij_i}]_{j_i=1}^{n_i}$  for each  $i, (1 \leq i \leq k)$ . Similarly, by arranging the score lists of each vertex set  $U_i$ 

separately in non-decreasing order, we get k lists called score lists of M which are denoted as  $S_i = [s_{ij_i}]_{j_i=1}^{n_i}$  for each i  $(1 \le i \le k)$ .

The following two theorems are the main results of this subsection.

**Theorem 25.24** (Pirzada, Zhou, Iványi [102]) Given k nonnegative integers  $n_i$  and k nonnegative integers  $\alpha_i$  with  $1 \leq \alpha_i \leq n_i$  for each i  $(1 \leq i \leq k)$ , the k nondecreasing lists  $R_i = [r_{ij_i}]_{j_i=1}^{n_i}$  of nonnegative integers are the losing score lists of a k-partite hypertournament if and only if for each  $p_i$   $(1 \leq i \leq k)$  with  $p_i \leq n_i$ ,

$$\sum_{i=1}^{k} \sum_{j_i=1}^{p_i} r_{ij_i} \ge \prod_{i=1}^{k} \binom{p_i}{\alpha_i}, \tag{25.43}$$

with equality when  $p_i = n_i$  for each  $i \ (1 \le i \le k)$ .

**Theorem 25.25** (Pirzada, Zhou, Iványi [102]) Given k nonnegative integers  $n_i$  and k nonnegative integers  $\alpha_i$  with  $1 \leq \alpha_i \leq n_i$  for each i  $(1 \leq i \leq k)$ , the k non-decreasing lists  $S_i = [s_{ij_i}]_{j_i=1}^{n_i}$  of non-negative integers are the score lists of a k-partite hypertournament if and only if for each  $p_i$ ,  $(1 \leq i \leq k)$  with  $p_i \leq n_i$ 

$$\sum_{i=1}^{k} \sum_{j_i=1}^{p_i} s_{ij_i} \ge \left(\sum_{i=1}^{k} \frac{\alpha_i p_i}{n_i}\right) \left(\prod_{i=1}^{k} \binom{n_i}{\alpha_i}\right) + \prod_{i=1}^{k} \binom{n_i - p_i}{\alpha_i} - \prod_{i=1}^{k} \binom{n_i}{\alpha_i}, \quad (25.44)$$

with equality when  $p_i = n_i$  for each  $i \ (1 \le i \le k)$ .

We note that in a k-partite hypertournament M, there are exactly  $\prod_{i=1}^k \binom{n_i}{\alpha_i}$  arcs and in each arc only one vertex is at the last entry. Therefore,

$$\sum_{i=1}^{k} \sum_{j_{i}=1}^{n_{i}} d_{M}^{-}(u_{ij_{i}}) = \prod_{i=1}^{k} \binom{n_{i}}{\alpha_{i}}.$$

In order to prove the above two theorems, we need the following Lemmas.

**Lemma 25.26** If M is a k-partite hypertournament of order  $\sum_{1}^{k} n_i$  with score lists  $S_i = [s_{ij_i}]_{j_i=1}^{n_i}$  for each i  $(1 \le i \le k)$ , then

$$\sum_{i=1}^{k} \sum_{j_i=1}^{n_i} s_{ij_i} = \left[ \left( \sum_{1=1}^{k} \alpha_i \right) - 1 \right] \prod_{i=1}^{k} \binom{n_i}{\alpha_i}. \tag{25.45}$$

**Proof** We have  $n_i \geq \alpha_i$  for each  $i \ (1 \leq i \leq k)$ . If  $r_{ij_i}$  is the losing score of  $u_{ij_i} \in U_i$ ,

then

$$\sum_{i=1}^{k} \sum_{j_i=1}^{n_i} r_{ij_i} = \prod_{i=1}^{k} \binom{n_i}{\alpha_i}.$$
 (25.46)

The number of  $[\alpha_i]_1^k$  arcs containing  $u_{ij_i} \in U_i$  for each i,  $(1 \le i \le k)$ , and  $1 \le j_i \le n_i$  is

$$\frac{\alpha_i}{n_i} \prod_{t=1}^k \binom{n_t}{\alpha_t}. \tag{25.47}$$

Thus,

$$\sum_{i=1}^{k} \sum_{j_{i}=1}^{n_{i}} s_{ij_{i}} = \sum_{i=1}^{k} \sum_{j_{i}=1}^{n_{i}} \left(\frac{\alpha_{i}}{n_{i}}\right) \prod_{1}^{k} \binom{n_{t}}{\alpha_{t}} - \binom{n_{i}}{\alpha_{i}}$$

$$= \left(\sum_{i=1}^{k} \alpha_{i}\right) \prod_{1}^{k} \binom{n_{t}}{\alpha_{t}} - \prod_{1}^{k} \binom{n_{i}}{\alpha_{i}}$$

$$= \left[\left(\sum_{1=1}^{k} \alpha_{i}\right) - 1\right] \prod_{1}^{k} \binom{n_{i}}{\alpha_{i}}.$$

$$(25.48)$$

**Lemma 25.27** (Pirzada, Zhou, Iványi [102]) If  $R_i = [r_{ij_i}]_{j_i=1}^{n_i}$   $(1 \le i \le k)$  are k losing score lists of a k-partite hypertournament M, then there exists some

h with 
$$r_{1h} < \frac{\alpha_1}{n_1} \prod_{1}^k \binom{n_p}{\alpha_p}$$
 so that  $R'_1 = [r_{11}, r_{12}, \dots, r_{1h} + 1, \dots, r_{1n_1}], R'_s =$ 

 $[r_{s1}, r_{s2}, \ldots, r_{st} - 1, \ldots, r_{sn_s}]$  (2 \le s \le k) and  $R_i = [r_{ij_i}]_{j_i=1}^{n_i}$ , (2 \le i \le k),  $i \neq s$  are losing score lists of some k-partite hypertournament, t is the largest integer such that  $r_{s(t-1)} < r_{st} = \ldots = r_{sn_s}$ .

**Proof** Let  $R_i = [r_{ij_i}]_{j_i=1}^{n_i}$   $(1 \le i \le k)$  be losing score lists of a k-partite hypertournament M with vertex sets  $U_i = \{u_{i1}, u_{i2}, \ldots, u_{ij_i}\}$  so that  $d^-(u_{ij_i}) = r_{ij_i}$  for each  $i \ (1 \le i \le k, \ 1 \le j_i \le n_i)$ .

Let h be the smallest integer such that

$$r_{11} = r_{12} = \ldots = r_{1h} < r_{1(h+1)} \le \ldots \le r_{1n_1}$$

and t be the largest integer such that

$$r_{s1} \le r_{s2} \le \ldots \le r_{s(t-1)} < r_{st} = \ldots = r_{sn_s}$$

Now, let

$$R'_1 = [r_{11}, r_{12}, \dots, r_{1h} + 1, \dots, r_{1n_1}],$$

$$R'_{s} = [r_{s1}, r_{s2}, \dots, r_{st} - 1, \dots, r_{sn_{s}}]$$

 $(2 \le s \le k)$ , and  $R_i = [r_{ij_i}]_{j_i=1}^{n_i}$ ,  $(2 \le i \le k)$ ,  $i \ne s$ . Clearly,  $R_1'$  and  $R_s'$  are both in non-decreasing order.

Since  $r_{1h} < \frac{\alpha_1}{n_1} \prod_{1}^k \binom{n_p}{\alpha_p}$ , there is at least one  $[\alpha_i]_1^k$ -arc e containing both  $u_{1h}$  and  $u_{st}$  with  $u_{st}$  as the last element in e, let  $e' = (u_{1h}, u_{st})$ . Clearly,  $R'_1$ ,  $R'_s$  and  $R_i = [r_{ij_i}]_{j_i=1}^{n_i}$  for each i  $(2 \le i \le k)$ ,  $i \ne s$  are the k losing score lists of  $M' = (M-e) \cup e'$ .

The next observation follows from Lemma ??, and the proof can be easily established.

**Lemma 25.28** (Pirzada, Zhou, Iványi [102]) Let  $R_i = [r_{ij_i}]_{j_i=1}^{n_i}$ ,  $(1 \leq i \leq k)$  be k nondecreasing sequences of nonnegative integers satisfying (??. If  $r_{1n_1} < \frac{\alpha_1}{n_1} \prod_{1}^{k} \binom{n_t}{\alpha_t}$ , then there exists s and t  $(2 \leq s \leq k)$ ,  $1 \leq t \leq n_s$  such that  $R'_1 = [r_{11}, r_{12}, \ldots, r_{1h}+1, \ldots, r_{1n_1}]$ ,  $R'_s = [r_{s1}, r_{s2}, \ldots, r_{st}-1, \ldots, r_{sn_s}]$  and  $R_i = [r_{ij_i}]_{j_i=1}^{n_i}$ ,  $(2 \leq i \leq k)$ ,  $i \neq s$  satisfy (1).

**Proof of Theorem ??. Necessity.** Let  $R_i$ ,  $(1 \le i \le k)$  be the k losing score lists of a k-partite hypertournament  $M(U_i, 1 \le i \le k)$ . For any  $p_i$  with  $\alpha_i \le p_i \le n_i$ , let  $U'_i = \{u_{ij_i}\}_{j_i=1}^{p_i} (1 \le i \le k)$  be the sets of vertices such that  $d^-(u_{ij_i}) = r_{ij_i}$  for each  $1 \le j_i \le p_i$ ,  $1 \le i \le k$ . Let M' be the k-partite hypertournament formed by  $U'_i$  for each i  $(1 \le i \le k)$ .

Then,

$$\sum_{i=1}^{k} \sum_{j_{i}=1}^{p_{i}} r_{ij_{i}} \geq \sum_{i=1}^{k} \sum_{j_{i}=1}^{p_{i}} d_{M'}^{-}(u_{ij_{i}})$$

$$= \prod_{1}^{k} \begin{pmatrix} p_{t} \\ \alpha_{t} \end{pmatrix}.$$
(25.49)

**Sufficiency.** We induct on  $n_1$ , keeping  $n_2, \ldots, n_k$  fixed. For  $n_1 = \alpha_1$ , the result is

obviously true. So, let  $n_1 > \alpha_1$ , and similarly  $n_2 > \alpha_2, \ldots, n_k > \alpha_k$ . Now,

$$r_{1n_{1}} = \sum_{i=1}^{k} \sum_{j_{i}=1}^{n_{i}} r_{ij_{i}} - \left(\sum_{j_{1}=1}^{n_{1}-1} r_{1j_{1}} + \sum_{i=2}^{k} \sum_{j_{i}=1}^{n_{i}} r_{ij_{i}}\right)$$

$$\leq \prod_{1}^{k} \binom{n_{t}}{\alpha_{t}} - \binom{n_{1}-1}{\alpha_{1}} \prod_{2}^{k} \binom{n_{t}}{\alpha_{t}}$$

$$= \left[\binom{n_{1}}{\alpha_{1}} - \binom{n_{1}-1}{\alpha_{1}}\right] \prod_{2}^{k} \binom{n_{t}}{\alpha_{t}}$$

$$= \binom{n_{1}-1}{\alpha_{1}-1} \prod_{2}^{k} \binom{n_{t}}{\alpha_{t}}.$$

$$(25.50)$$

We consider the following two cases.

Case 1.  $r_{1n_1} = \begin{pmatrix} n_1 - 1 \\ \alpha_1 - 1 \end{pmatrix} \prod_{t=1}^{k} \begin{pmatrix} n_t \\ \alpha_t \end{pmatrix}$ .

$$\sum_{j_{1}=1}^{n_{1}-1} r_{1j_{1}} + \sum_{i=2}^{k} \sum_{j_{i}=1}^{n_{i}} r_{ij_{i}} = \sum_{i=1}^{k} \sum_{j_{i}=1}^{n_{i}} r_{ij_{i}} - r_{1n_{1}}$$

$$= \prod_{1}^{k} \binom{n_{t}}{\alpha_{t}} - \binom{n_{1}-1}{\alpha_{1}-1} \prod_{2}^{k} \binom{n_{t}}{\alpha_{t}}$$
(25.51)

$$= \left(\begin{array}{c} n_1 - 1 \\ \alpha_1 \end{array}\right) \prod_{t=0}^{k} \left(\begin{array}{c} n_t \\ \alpha_t \end{array}\right).$$

 $= \left[ \left( \begin{array}{c} n_1 \\ \alpha_1 \end{array} \right) - \left( \begin{array}{c} n_1 - 1 \\ \alpha_1 - 1 \end{array} \right) \right] \prod_{t=1}^k \left( \begin{array}{c} n_t \\ \alpha_t \end{array} \right)$ 

By induction hypothesis  $[r_{11}, r_{12}, \ldots, r_{1(n_1-1)}], R_2, \ldots, R_k$  are losing score lists of a k-partite hypertournament  $M'(U'_1, U_2, \ldots, U_k)$  of order  $\left(\sum_{i=1}^k n_i\right) - 1$ . Construct a k-partite hypertournament M of order  $\sum_{i=1}^k n_i$  as follows. In M', let  $U'_1 = \{u_{11}, u_{12}, \ldots, u_{1(n_1-1)}\}, U_i = \{u_{ij_i}\}_{j_i=1}^{n_i}$  for each i,  $(2 \leq i \leq k)$ . Adding a new vertex  $u_{1n_1}$  to  $U'_1$ , for each  $\left(\sum_{i=1}^k \alpha_i\right)$ -tuple containing  $u_{1n_1}$ , arrange  $u_{1n_1}$  on the last entry. Denote  $E_1$  to be the set of all these  $\left(\begin{array}{c} n_1 - 1 \\ \alpha_1 - 1 \end{array}\right) \prod_{i=1}^k \left(\begin{array}{c} n_t \\ \alpha_t \end{array}\right)$   $\left(\sum_{i=1}^k \alpha_i\right)$ -tuples. Let  $E(M) = E(M') \cup E_1$ . Clearly,  $R_i$  for each i,  $(1 \leq i \leq k)$  are

the k losing score lists of M.

Case 2. 
$$r_{1n_1} < \begin{pmatrix} n_1 - 1 \\ \alpha_1 - 1 \end{pmatrix} \prod_{t=1}^{k} \begin{pmatrix} n_t \\ \alpha_t \end{pmatrix}$$
.

Applying Lemma ?? repeatedly on  $R_1$  and keeping each  $R_i$ ,  $(2 \le i \le k)$ fixed until we get a new non-decreasing list  $R'_1 = [r'_{11}, r'_{12}, \dots, r'_{1n_1}]$  in which now

$$_{1n_1}' = \begin{pmatrix} n_1 - 1 \\ \alpha_1 - 1 \end{pmatrix} \prod_2^k \begin{pmatrix} n_t \\ \alpha_t \end{pmatrix}$$
. By Case 1,  $R_1'$ ,  $R_i$   $(2 \le i \le k)$  are the losing

score lists of a k-partite hypertournament. Now, apply Lemma 25.27 on  $R'_1$ ,  $R_i$  $(2 \le i \le k)$  repeatedly until we obtain the initial non-decreasing lists  $R_i$  for each i  $(1 \le i \le k)$ . Then by Lemma 25.27,  $R_i$  for each i  $(1 \le i \le k)$  are the losing score lists of a k-partite hypertournament.

**Proof of Theorem 25.25.** Let  $S_i = [s_{ij_i}]_{j_i=1}^{n_i} (1 \leq i \leq k)$  be the k score lists of a k-partite hypertournament  $M(U_i, 1 \leq i \leq k)$ , where  $U_i = \{u_{ij_i}\}_{j_i=1}^{n_i}$  with

$$d_M^+(u_{ij_i}) = s_{ij_i}$$
, for each  $i, (1 \le i \le k)$ . Clearly,

$$d^+(u_{ij_i}) + d^-(u_{ij_i}) = \frac{\alpha_i}{n_i} \prod_{1}^k \binom{n_t}{\alpha_t}, \quad (1 \le i \le k, 1 \le j_i \le n_i).$$

Let 
$$r_{i(n_i+1-j_i)} = d^-(u_{ij_i}), (1 \le i \le k, 1 \le j_i \le n_i).$$

Let  $r_{i(n_i+1-j_i)} = \mathbf{d}^-(u_{ij_i})$ ,  $(1 \leq i \leq k, 1 \leq j_i \leq n_i)$ . Then  $R_i = [r_{ij_i}]_{j_i=1}^{n_i} (i=1,2,\ldots,k)$  are the k losing score lists of M. Conversely, if  $R_i$  for each i  $(1 \le i \le k)$  are the losing score lists of M, then  $S_i$  for each i,  $(1 \le i \le k)$  are the score lists of M. Thus, it is enough to show that conditions (??)

and (??) are equivalent provided 
$$s_{ij_i} + r_{i(n_i+1-j_i)} = \begin{pmatrix} \alpha_i \\ n_i \end{pmatrix} \prod_{1}^k \begin{pmatrix} n_t \\ \alpha_t \end{pmatrix}$$
, for each  $i$   $(1 \le i \le k \text{ and } 1 \le j_i \le n_i)$ .

First assume (??) holds. Then,

$$\begin{split} \sum_{i=1}^k \sum_{j_i=1}^{p_i} r_{ij_i} &= \sum_{i=1}^k \sum_{j_i=1}^{p_i} \left(\frac{\alpha_i}{n_i}\right) \left(\prod_1^k \left(\begin{array}{c} n_t \\ \alpha_t \end{array}\right)\right) - \sum_{i=1}^k \sum_{j_i=1}^{p_i} s_{i(n_i+1-j_i)} \\ &= \sum_{i=1}^k \sum_{j_i=1}^{p_i} \left(\frac{\alpha_i}{n_i}\right) \left(\prod_1^k \left(\begin{array}{c} n_t \\ \alpha_t \end{array}\right)\right) - \left[\sum_{i=1}^k \sum_{j_i=1}^{n_i} r_{ij_i} - \sum_{i=1}^k \sum_{j_i=1}^{n_i-p_i} s_{ij_i}\right] \\ &\geq \left[\sum_{i=1}^k \sum_{j_i=1}^{p_i} \left(\frac{\alpha_i}{n_i}\right) \left(\prod_1^k \left(\begin{array}{c} n_t \\ \alpha_t \end{array}\right)\right)\right] \\ &- \left[\left(\left(\sum_1^k \alpha_i\right) - 1\right) \prod_1^k \left(\begin{array}{c} n_i \\ \alpha_i \end{array}\right)\right] \\ &+ \sum_{i=1}^k (n_i - p_i) \left(\frac{\alpha_i}{n_i}\right) \prod_1^k \left(\begin{array}{c} n_t \\ \alpha_t \end{array}\right) \\ &+ \prod_1^k \left(\begin{array}{c} n_i - (n_i - p_i) \\ \alpha_i \end{array}\right) - \prod_1^k \left(\begin{array}{c} n_i \\ \alpha_i \end{array}\right) \\ &= \prod_1^k \left(\begin{array}{c} n_i \\ \alpha_i \end{array}\right), \end{split}$$

with equality when  $p_i = n_i$  for each  $i \ (1 \le i \le k)$ . Thus (1) holds.

Now, when (??) holds, using a similar argument as above, we can show that (??) holds. This completes the proof.

# 25.8.2. Supertournaments

The majority of the results on hypertournaments can be extended to supertournaments.

The simplest case when all m individual tournaments have own input sequence  $\mathbf{q_i} = q_{i,1}, \ldots, q_{i,n_i}$ , where  $n_i = \binom{n}{k_i}$ . Then we can apply the necessary and sufficient conditions and algorithms of the previous sections.

If all m tournaments have a join input sequence  $\mathbf{q_1}, \dots, \mathbf{q_n}$ , then all the previous necessary conditions remain valid.

#### 25.9. Football tournaments

The football tournamentsquidexfootball tournament are special incomplete (2, 3, n)-tournaments, where the set of the permitted results is  $S_{\text{football}=\{0:3,1:1\}}$ .

#### 25.9.1. Testing algorithms

In this section we describe ten properties of football sequences. These properties serve as necessary conditions for a given sequence to be a football sequence.

**Definition 25.29** A football tournament F is a directed graph (on  $n \geq 2$  vertices) in which the elements of every pair of vertices are connected either with 3 arcs directed in identical manner or with 2 arcs directed in different manner. A nondecreasingly ordered sequence of any F is called **football sequence** 

The *i*-th vertex will be called *i*-th team and will be denoted by  $T_i$ . For the computations we represent a tournament with M, what is an  $n \times n$  sized matrix, in which  $m_{ij}$  means the number of points received by  $T_i$  in the match against  $T_j$ . The elements  $m_{ii}$ , that is the elements in the main diagonal of M are equal to zero. Let's underline, that the permitted elements are 0, 1 or 3, so  $|\mathcal{F}| = 3^{n(n-1)/2}$ .

The vector of the outdegrees  $d = (d_1, d_2, \dots, d_n)$  of a tournament F is called score vector. Usually we suppose that the score vector is nondecreasingly sorted. The sorted score vector is called score sequence and is denoted by  $f = (f_1, f_2, \dots, f_n)$ .

In this section at first we describe 6 algorithms which require  $\Theta(n)$  time in worst case, then more complicate algorithms follow.

#### Linear time testing algorithms

In this subsection we introduce relatively simple algorithms BOUNDNESS-TEST, MONO- TONITY-TEST, INTERVALLUM-TEST, LOSS-TEST, DRAW-LOSS-TEST, VICTORY-TEST, STRONG-TEST, and SPORT-TEST.

#### Testing of boundness

Since every team  $T_i$  plays n-1 matches and receives at least 0 and at most 3 points in each match, therefore in a football sequence it holds  $0 \le f_i \le 3(n-1)$  for  $i = 1, 2, \ldots, n$ .

**Definition 25.30** A sequence  $(q_1, q_2, ..., q_n)$  of integers will be called n-bounded (shortly: bounded), iff

$$0 \le q_i \le 3(n-1)$$
 for  $i = 1, 2, \dots, n$ . (25.52)

Lemma 25.31 Every football sequence is a bounded sequence.

**Proof** The lemma is a direct consequence of Definition 25.29.

The following algorithm executes the corresponding test. Sorting of the elements of q is not necessary. We allow negative numbers in the input since later testing

algorithm Decomposition can produce such input for Bounded.

Input. n: the number of teams  $(n \ge 2)$ ;

 $q = (q_1, q_2, \dots, q_n)$ : arbitrary sequence of integer numbers.

 $\it Output.$  W: a logical variable. Its value is True, if the input vector is bounded, and False otherwise.

Working variable. i: cycle variable.

Boundness-Test(n, q, W)

```
01 for i = 1 to n

02 if q_i < 0 or q_i > 3(n-1)

03 W = \text{FALSE}

04 return W

05 W = \text{True}

06 return W
```

In worst case BOUNDNESS-TEST runs  $\Theta(n)$  time, in expected case runs in  $\Theta(1)$  time. More precisely the algorithm executes n comparisons in worst case and asymptotically in average 2 comparisons in best case.

#### Testing of monotonity

Monotonity is also a natural property of football sequences.

**Definition 25.32** A bounded sequence of nonnegative integers  $q = (q_1, q_2, ..., q_n)$  will be called n-monotone (shortly: monotone), iff

$$q_1 \le q_2 \le \dots \le q_n. \tag{25.53}$$

**Lemma 25.33** Every football sequence is a monotone sequence.

**Proof** This lemma also is a direct consequence of Definition 25.29.

The following algorithm executes the corresponding test. Sorting of the elements of q is not necessary.

Input. n: the number of players  $(n \ge 2)$ ;

 $q = (q_1, q_2, \dots, q_n)$ : a bounded sequence of length n.

 $\it Output.~W$ : a logical variable. Its value is True, if the input vector is monotone, and False otherwise.

Working variable. i: cycle variable.

Monotonity-Test(n, q, W)

```
 01 	ext{ for } i = 1 	ext{ to } n - 1   02 	ext{ if } q_i < q_{i-1}   03 	ext{ } W = 	ext{FALSE}   04 	ext{ return } W   05 	ext{ } W = 	ext{TRUE}   06 	ext{ return } W
```

In worst case Monotonity-Test runs  $\Theta(n)$  time, in expected case runs in  $\Theta(1)$  time. More precisely the algorithm executes n comparisons in worst case.

The following lemma gives the numbers of bounded and monotone sequences. Let  $\mathcal{B}(n)$  denote the set of *n*-bounded, and  $\mathcal{M}(n)$  the set of *n*-monotone sequences,  $\beta(n)$  the size of  $\mathcal{B}(n)$  and  $\mu(n)$  the size of  $\mathcal{M}(n)$ .

**Lemma 25.34** If  $n \geq 2$ , then

$$\beta(n) = (3n - 2)^n \tag{25.54}$$

and

$$\mu(n) = \binom{4n-3}{n}.\tag{25.55}$$

**Proof** (25.54) is implied by the fact that an n-bounded sequence contains n elements and these elements have 3n-2 different possible values.

To show (25.55) let  $m = (m_1, m_2, ..., m_n)$  be a monotone sequence and let  $m' = (m'_1, m'_2, ..., m'_n)$ , where  $m'_i = m_i + i - 1$ . Then  $0 \le m'_1 < m'_2 < \cdots < m'_n < 4n - 4$ . The mapping  $m \to m'$  is a bijection and so  $\mu(n)$  equals to the number of ways of choosing n numbers from 4n - 3, resulting (25.55).

#### Testing of the intervallum property

The following definition exploits the basic idea of Landau's theorem [69].

**Definition 25.35** A monotone sequence  $q = (q_1, q_2, ..., q_n)$  is called **intervallum** type (shortly: intervallum), iff

$$2\binom{k}{2} \le \sum_{i=1}^{k} q_i \le 3\binom{n}{2} - (n-i)q_i \quad (k=1,2,\ldots,n).$$
 (25.56)

**Lemma 25.36** Every football sequence is intervallum sequence.

**Proof** The left inequality follows from the fact, that the teams  $T_1, T_2, ... T_k$  play  $\binom{k}{2}$  matches and they get together at least two points in each matches.

The right inequality follows from the monotonity of m and from the fact, that the teams play  $\binom{n}{2}$  matches and get at most 3 points in each match.

The following algorithm Intervallum-Test tests whether a monotone input is intervallum type.

Input. n: the number of teams  $(n \ge 2)$ ;

 $q = (q_1, q_2, \dots, q_n)$ : a bounded sequence of length n.

Output. W: a logical variable. Its value is True, if the input vector is intervallum type, and False otherwise.

Working variables. i: cycle variable;

```
B_k = \binom{n}{k} \ (k=0,\ 1,\ 2,\ \ldots,\ n): binomial coefficients; S_0 = 0: initial value for the sum of the input data; S_k = \sum_{i=1}^k q_i \ (k=1,\ 2,\ \ldots,\ n): the sum of the smallest k input data.
```

We consider  $B = (B_0, B_1, ..., B_n)$  and  $S = (S_0, S_1, ..., S_n)$  as global variables, and therefore they are used later without new calculations. The number of n-intervallum sequences will be denoted by  $\gamma(n)$ .

Intervallum-Test(n, q, W)

```
01 B_0 = S_0 = 0

02 for i = 1 to n

02 B_i = B_{i-1} + i - 1

04 S_i = S_{i-1} + q_i

05 if 2B_i > S_i or S_i > 3B_n - (n-i)q_i

06 W = \text{FALSE}

07 return W

08 W = \text{TRUE}

09 return W
```

In worst case Intervallum-Test runs  $\Theta(n)$  time. More precisely the algorithm executes 2n comparisons, 2n additions, 2n extractions, n multiplications and 2 assignments in worst case. The number of the n-intervallum sequences will be denoted by  $\gamma(n)$ .

### Testing of the loss property

The following test is based on Theorem 3 of [48, page 86]. The basis idea behind the theorem is the observation that if the sum of the k smallest scores is less than  $3\binom{k}{2}$ , then the teams  $T_1, T_2, \ldots, T_k$  have lost at least  $3\binom{k}{2} - S_k$  points in the matches among others. Let  $L_0 = 0$  and  $L_k = \max(L_{k-1}, 3\binom{n}{2} - S_k)$   $(k = 1, 2, \ldots, n)$ .

**Definition 25.37** An intervallum satisfying sequence  $q = (q_1, q_2, ..., q_n)$  is called **loss satisfying**, iff

$$\sum_{i=1}^{k} q_i + (n-k)q_k \le 3B_n - L_k \quad (k = 1, 2, \dots, n). \tag{25.57}$$

Lemma 25.38 A football sequence is loss satisfying.

**Proof** See the proof of Theorem 3 in [48].

```
The following algorithm Loss-Test exploits Lemma 25.38. 
Input. n: the number of teams (n \ge 2); q = (q_1, q_2, \dots, q_n): a bounded sequence of length n.
```

Output. W: a logical variable. Its value is True, if the input vector is Landau type, and False otherwise.

```
Working variables. i: cycle variable;

L = (L_0, L_1, ..., L_n): vector of the loss coefficient;

S = (S_0, S_1, ..., S_n): sums of the input values, global variables;

B = (B_0, B_1, ..., B_n): binomial coefficients, global variables.
```

Loss-Test(n, q, W)

```
01 L_0 = 0

02 for i = 1 to n

03 L_i = \max(L_{i-1}, 3B_i - S_i)

04 if S_i + (n-i)q_i > 3B_n - L_i

05 W = \text{FALSE}

06 return W

07 W = \text{TRUE}

08 return W
```

In worst case Loss-Test runs in  $\Theta(n)$  time, in best case in  $\Theta(1)$  time. We remark that  $L = (L_0, L_1, \dots, L_n)$  is in the following a global variable. The number of loss satisfying sequences will be denoted by  $\lambda(n)$ .

### Testing of the draw-loss property

In the previous subsection Loss-Test exploited the fact, that small scores signalize draws, allowing the improvement of the upper bound  $3B_n$  of the sum of the scores.

Let's consider the loss sequence (1,2).  $T_1$  made a draw, therefore one point is lost and so  $S_2 \leq 2B_2 - 1 = 1$  must hold implying that the sequence (1,2) is not a football sequence. This example is exploited in the following definition and lemma. Let

$$L'(0) = 0$$
 and  $L'_k = \max\left(L'_{i-1}, 3B_k - S_k, \left\lceil \frac{\sum_{i=1}^k (q_i - 3\lfloor q_i/3 \rfloor)}{2} \right\rceil \right)$ . (25.58)

**Definition 25.39** A loss satisfying sequence  $q = (q_1, q_2, ..., q_n)$  is called **draw** loss satisfying, iff

$$\sum_{k=1}^{k} q_k + (n-k)q_k \le 3B_n - L'_k \quad (k=1,2,\ldots,n).$$
 (25.59)

**Lemma 25.40** A football sequence is draw loss satisfying.

**Proof** The assertion follows from the fact that small scores and remainders (mod 3) of the scores both signalize lost points and so decrease the upper bound  $3B_n$ .

The following algorithm Draw-Loss-Test exploits Lemma 25.38.

Input. n: the number of teams  $(n \ge 2)$ ;

 $q = (q_1, q_2, \dots, q_n)$ : a loss satisfying sequence of length n.

Output. W: a logical variable. Its value is TRUE, if the input vector is Landau type, and FALSE otherwise.

Working variables. i: cycle variable;

L, S global variables;

 $L' = (L'_0, L'_1, \dots, L'_n)$ : modified loss coefficients.

Draw-Loss-Test(n, q, W)

01 
$$L'_0 = 0$$
  
02 for  $i = 1$  to  $n$   
03  $L'_i = \max(L_i), \left\lceil \frac{\sum_{i=1}^k (q_i - 3\lfloor q_i/3 \rfloor)}{2} \right\rceil$   
04 if  $S_i + (n-i)q_i > 3B_n - L'_i$   
05  $W = \text{FALSE}$   
06 return  $W$   
07  $W = \text{TRUE}$   
08 return  $W$ 

In worst case DRAW-LOSS-TEST runs in  $\Theta(n)$  time, in best case in  $\Theta(1)$  time. We remark that L' is in the following a global variable.

The number of draw loss satisfying sequences will be denoted by  $\delta(n)$ .

#### Testing of the victory property

In any football tournament  $S_n - 2\binom{n}{2}$  matches end with victory and  $3\binom{n}{2} - S_n$  end with draw.

**Definition 25.41** A loss satisfying (shortly: loss) sequence  $q = (q_1, q_2, ..., q_n)$  is called **victory satisfying**, iff

$$\sum_{i=1}^{n} \left\lfloor \frac{q_i}{3} \right\rfloor \ge S_n - 2 \binom{n}{2} \quad (k = 1, 2, \dots, n). \tag{25.60}$$

**Lemma 25.42** A football sequence is victory satisfying.

**Proof** Team  $T_i$  could win at most  $\lfloor q_i/3 \rfloor$  times. The left side of (25.60) is an upper bound for the number of possible wins, therefore it has to be greater or equal then the exact number of wins in the tournament.

The following algorithm VICTORY-TEST exploits Lemma 25.42. Input. n: the number of teams  $(n \ge 2)$ ;

 $q = (q_1, q_2, \dots, q_n)$ : a loss sequence of length n.

Output. W: a logical variable. Its value is True, if the input vector is Landau type, and False otherwise.

Working variables. i: cycle variable;

 $V = (V_0, V_1, V_2, \dots, V_n)$ : where  $V_i$  is an upper estimation of the number of possible wins of  $T_1, T_2, \dots, T_i$ .

 $S_n$ ,  $B_n$ : global variables.

VICTORY-TEST(n, q, W)

```
01 V_0 = 0

02 for i = 1 to n

03 V_i = V_{i-1} + \lfloor q_i/3 \rfloor

04 if V_n < S_n - 2B_n

05 W = \text{FALSE}

06 return W

07 W = \text{TRUE}

08 return W
```

VICTORY-TEST runs in  $\Theta(n)$  time in all cases. The number of the victory satisfying sequences is denoted by  $\nu(n)$ .

VICTORY-TEST is successful e.g. for the input sequence (1,2), but until now we could not find such draw loss sequence, which is not victory sequence. The opposite assertion is also true. Maybe that the sets of victory and draw loss sequences are equivalent?

#### Testing of the strong draw-loss property

In paragraph "Testing of the draw-loss property" we estimated the loss caused by the draws in a simple way: supposed that every draw implies half point of loss. Especially for short sequences is useful a more precise estimation.

Let's consider the sequence (2,3,3,7). The sum of the remainders (mod 3) is 2 + 1 = 3, but we have to convert to draws at least three "packs" (3 points), if we wish to pair the necessary draws, and so at least six points are lost, permitting at most  $S_n = 12$ .

Exploiting this observation we can sharp a bit Lemma 25.40. There are the following useful cases:

- 1. one small remainder (1 pont) implies the loss of  $(1+5\times3)/2=8$  points;
- 2. one large remainder (2 points) implies the loss of  $(2+4\times3)/2=5$  points;
- 3. one small and one large remainder imply the loss of  $(1+2+3\times3)/2=6$  points;
- 4. two large remainders imply the loss of  $(2+2+2\times3)/2=5$  points;
- 5. one small and two large remainders imply the loss of (2+2+1+3)/2=4 points.

According to this remarks let  $m_1$  resp.  $m_2$  denote the multiplicity of the equality  $q_k = 1 \pmod{3}$  resp.  $q_k = 2 \pmod{3}$ .

**Definition 25.43** A victory satisfying sequence  $q = (q_1, q_2, \ldots, q_n)$  is called

strong, iff

$$\sum_{k=1}^{k} q_k + (n-k)q_k \le 3B_n - L_k'' \quad (k=1,2,\ldots,n).$$
 (25.61)

**Lemma 25.44** Every football sequence is strong.

**Proof** The assertion follows from the fact that any point matrix of a football tournament order the draws into pairs.

The following algorithm STRONG-TEST exploits Lemma 25.9.1.

Input. n: the number of teams  $(n \ge 2)$ ;

 $q = (q_1, q_2, \dots, q_n)$ : a loss satisfying sequence of length n

Output. W: a logical variable. Its value is TRUE, if the input vector is Landau type, and FALSE otherwise.

Working variables. i: cycle variable;

 $L' = (L'_0, L'_1, \dots, L_n)$ : modified loss coefficients, global variables;

 $S_n$ : sum of the elements of the sequence q, global variable;

 $L''' = (L''_0, L''_1, \dots, L''_n)$ : strongly modified loss coefficients.

STRONG-TEST(n, q, W)

```
01 \ m_1 = m_2 = 0
02 for i = 1 to n
       if q_i = 1 \pmod{3}
       m_1 = m_1 + 1
       if q_i == 2 \pmod{3}
05
06
      m_2 = m_2 + 1
07 \ L'' = L'
08 \text{ if } m_1 == 1 \text{ and } m_2 = 0
    L'' = \max(L', 8)
10 if m_1 == 0 and m_2 = 1
     L'' = \max(L', 5)
12 if m_1 == 1 and m_2 = 1
     L'' = \max(L', 6)
14 if m_1 == 0 and m_2 = 2
    L'' = \max(L', 5)
16 if m_1 == 1 and m_2 = 2
    L'' = \max(L', 4)
17
18 if S_n < 3B_n - L''
     W = \text{False}
19
20
     return
21 W = True
22 return W
```

STRONG-TEST runs in all cases in  $\Theta(n)$  time.

We remark that L'' is in the following a global variable.

The number of strong sequences will be denoted by  $\tau(n)$ .

### Testing of the sport property

One of the typical form to represent a football tournament is its point matrix as it was shown in Figure ??.

**Definition 25.45** A victory satisfying sequence  $q = (q_1, q_2, ..., q_n)$  is called sport sequence iff it can be transformed into a sport matrix.

**Lemma 25.46** Every football sequence is a sport sequence.

**Proof** This assertion is a consequence of the definition of the football sequences.

If a loss sequence q can be realized as a sport matrix, then the following algorithm SPORT-TEST constructs one of the sport matrices belonging to q.

If the team  $T_i$  has  $q_i$  points, then it has at least  $d_i = q_i \pmod{3}$  draws,  $v_i = \max(0, q_i - n + 1)$  wins and  $l_i = \max(0, n - 1 - q_i)$  losses. These results are called *obligatory wins*, *draws*, resp. *losses*. Sport-test starts its work with the computation of  $v_i$ ,  $d_i$  and  $l_i$ . Then it tries to distribute the remaining draws.

Input. n: the number of players  $(n \ge 2)$ ;

 $q = (q_1, q_2, \dots, q_n)$ : a victory satisfying sequence of length n.

Output. W: a logical variable. Its value is TRUE, if the input sequence is sport sequence, and FALSE otherwise;

Working variables. i: cycle variable;

v, d, l: columns of the sport matrix;

V, D, L: sum of the numbers of obligatory wins, draws, resp. losses;

 $B_n$ ,  $S_n$ : global variables;

 $S_n = \sum_{i=1}^n q_i$ : the sum of the elements of the input sequence;

VF, DF, LF: the exact number of wins, draws, resp. losses.

# Sport-Test(n, q, W)

```
01 \ V = D = L = 0
02 for i = 1 to n
       v_i = \max(0, q_i - n + 1)
       V = V + v_i
04
    d_i = q_i \pmod{3}
    D = D + d_i
       l_i = \max(0, n - 1 - q_i)
07
08 	 L = L + l_i
09 DF = 3B_n - S_n
10 if D > DF or 2DF - D \neq 0 \pmod{3}
11
      W = \text{False}
      return W
13 VF = S_n - 2B_n
14 LF = VF
15 for i = 1 to n
        while DF > 0 or VF > 0 or LF > 0
                x = \min\left(\frac{q_i - d_i - 3v_i}{3}, \left\lfloor \frac{3(n-1) - q_i - d_i}{6} \right\rfloor\right)
17
```

```
d_i = d_i + 3x
18
                 DF = DF - 3x
19
                v_i = \frac{q_i - d_i}{3}
20
                VF = \mathring{V}F - v_i
21
                l_i = n - 1 - d_i - v_i
22
23
                 LF = LF - l_i
                if l_i \neq v_i
25
26
                    W = \text{False}
27
                    return W
                 if DF \neq 0 or VF \neq 0 or LF \neq 0
28
29
                    W = \text{False}
30
                    return
28 W = True
29 \text{ return } W
```

SPORT-TEST runs in  $\Theta(n)$  time in all cases. The number of the sport sequences is denoted by  $\sigma(n)$ .

### Concrete examples

Let's consider short input sequences illustrating the power of the linear testing algorithms.

If n=2, then according to Lemma 25.34 we have  $\beta(2)=4^4=16$  and  $\mu(2)=\binom{5}{2}=10$ . The monotone sequences are (0,0), (0,1), (0,2), (0,3), (1,1), (1,3), (2,2), (2,3), (3,3). Among the monotone sequences there are 4 complete sequences: (0,2), (0,3),

If n = 3, then according to Lemma 25.34 we have  $\beta(3) = 7^3 = 343$  $\binom{9}{3}$ 84. Among the 84 monotone sequence there are and  $\mu(3) =$ sequences, and these sequences at the same time have 27 complete also the loss property, so  $\gamma(3) =$  $\lambda(3)$ 27. These sequences are =(0,2,4), (0,2,5), (0,2,6), (0,3,3), (0,3,4), (0,3,5), (0,3,6),the following: (0,4,4),(0,4,5),(1,1,4),(1,1,5),(1,1,6),(1,2,3),(1,2,4),(1,2,5),(1,2,6),(1,3,3),(1,3,4)4), (1,3,5), (1,4,4), (2,2,2), (2,2,3), (2,2,4), (2,2,5), (2,3,3), (2,3,4) and (3,3,3). From these sequences (0,2,4),... are not sport sequences, therefore  $\sigma(3) = ??$ and ()... are not paired sport sequences, so  $\pi(3) = 7$ . The following tests are unsuccessful, but FOOTBALL reconstructs the remained seven sequences, therefore  $\varphi(3) = 7.$ 

If n=4, then according to Lemma 25.34 we have  $\beta(4)=10^4=10\,000$  and  $\mu(4)=\binom{13}{4}=715$ . The number of complete sequences is  $\gamma(4)=???$  and the number of sequences having the loss property is  $\lambda(4)=57$  and  $\sigma(4)=??$ , further  $\pi(4)=40$ . We now that  $\varphi(4)=40$ , so our simple algorithms evaluate the input sequences no longer then 4.

If n = 5, then according to Lemma 25.34 we have  $\beta(5) = 16^5 = 1048\,576$  and  $\mu(5) = \binom{17}{5} = 6188$ . ????

# 25.9.2. Further polynomial testing algorithms

In this subsection we describe the testing algorithms QUICK-HH, DRAW-PAIRING-TEST, DECOMPOSITION-TEST.

### Quick Havel-Hakimi algorithm

In Subsection ?? we used a greedy approach to check whether the necessary number of draws is allocatable. A more efficient approach is to try to pair the allocated draws. A known method of this test to use the following Havel-Hakimi theorem [38, 43, 70] offering a recursive algorithm.

**Theorem 25.47** (Havel [43], Hakimi [38]). If  $n \geq 3$ , then a nonincreasing sequence  $d = (d_1, d_2, \ldots, d_n)$  of positive integers is the outdegree sequence of a simple graph G iff  $d' = (d_2 - 1, d_3 - 1, \ldots, d_{d_1} - 1, d_{d_1+1} - 1, d_{d_1+2}, \ldots, d_{d_n})$  is the outdegree sequence of some simple graph G'.

If G is for example a complete simple graph, then it contains  $\Theta(n^2)$  edges and the direct application of Havel-Hakimi theorem requires  $\Theta(n^2)$  time. We make an attempt to decide in linear time the pairability of a sequence of positive integers.

The first simple observation is the necessity of the condition  $d_i \leq n-1$  for all  $i=1, 2, \ldots, n$ . We have not to test this property since all our draw allocation algorithms guarantee its fulfilment. A more interesting condition is

**Lemma 25.48** If a nonincreasing sequence  $d = (d_1, d_2, ..., d_n)$  of positive integers is the outdegree sequence of a simple graph G, then

$$\sum_{i=1}^{n} d_i \quad \text{is even.} \tag{25.62}$$

and

$$\sum_{i=1}^{k} d_i - \min\left(2\binom{k}{2}, \sum_{i=1}^{k} d_i\right) \le \sum_{i=k+1}^{n} d_i \quad (k = 1, 2, \dots, n).$$
 (25.63)

**Proof** The draw request of the teams  $T_1, T_2, \ldots, T_k$  must be covered by inner and outer draws. The first sum on the right side gives the exact number of usable outer draws, while the sum of the right side gives the exact number of the reachable inner draws. The minimum on the left side represent an upper bound of the possible inner draws.

If we substitute this upper bound with the precise value, then our formula becomes a sufficient condition, but the computation of this value by Havel-Hakimi theorem is dangerous for the linearity of the method.

**Definition 25.49** A sequence  $1 \le d_1 \le d_2 \le \cdots \le d_n \le n-1$  is called **potential** n-draw sequence. The number of potential n-draw sequences is denoted by  $\pi(n)$ .

**Lemma 25.50** If 
$$n \ge 1$$
, then  $\pi(n) = \binom{2n-2}{n}$ .

**Proof** The proof is similar to the proof of Lemma 25.34.

Let's take a few example. If n=2, then we have only one potential draw-sequence, which is accepted by Havel-Hakimi algorithm and satisfies (25.62) and (25.63).

If n = 3, then there are  $\binom{4}{3} = 4$  potential draw sequence: (2,2,2), (2,2,1), (2,1,1) and (1,1,1). From these sequences Havel-Hakimi algorithm and the conditions of Lemma 25.50 both accept only (2,2,2) and (1,1,1).

If n=4, then there are  $\binom{6}{4}=15$  potential draw sequences. Havel-Hakimi algorithm and the conditions of Lemma 25.50 both accept the following 7: (3,3,3,3), (3,3,2,2), (3,2,2,1), (3,1,1,1), (2,2,2), (2,2,1,1), and (1,1,1,1).

If n = 5, then there are  $\binom{8}{5} = 56$  potential draw sequences. The methods are here also equivalent.

From one side we try to find an example for different decisions or try to find an exact proof of the equivalence of these algorithms.

# Testing of the pairing sport property at cautious allocation of the draws SPORT-TEST investigated, whether the scores allow to include $S_n = 2 \binom{n}{n}$ draws

SPORT-TEST investigated, whether the scores allow to include  $S_n - 2 \binom{n}{2}$  draws into the sport matrix.

Let's consider the sport sequence (2,3,3,9). In a unique way we get the sport matrix

Team	Wins	Draws	Losses	Points
$T_1$	3	0	0	9
$T_2$	1	0	2	3
$T_3$	1	0	2	3
$T_4$	0	2	1	2

**25.1.** Table Sport table belonging to the sequence q = (2, 3, 3, 9)

Here  $T_4$  has no partners to make two draws, therefore q is not a football sequence. Using the Havel-Hakimi algorithm [38, 43, 70] we can try to pair the draws of any sport matrix. If we received the sport matrix in a unique way, and Havel-Hakimi algorithms can not pair the draws, then the investigated sequence is not a football sequence.

We can increase the chance to get such negative result thinking on the method of allocation of the draws. Sport-Test allocated the draws in a greedy way. The following lemma shows that the uniform as possible allocation strategy is increases the percent of sequences refused by a testing algorithm.

Proof

Now consider the football sequence  $f = (6^6, 9, 21, 24, 27, \dots, 54, 57, 57, 69^7)$ , which is the result of a tournament of 7 weak, 14 medium and 7 strong teams. the weak player play draws among themselves and loss against the medium and strong teams. The medium teams form a transitive subtournament and loss against the strong teams. The strong teams play draws among themselves. We perturbate this simple structure: one of the weak teams wins against the best medium team instead of to lost the match. There are 42 draws in the tournament, therefore the sum of the  $v_i$  multiplicities of the sport matrix has to be 84. A uniform distribution results  $v_i = 3$  for all i determining the sport matrix in a unique way.

Let's consider the matches in the subtournament of  $T_1, T_2, \ldots, T_7$ . This subtournament consists of 21 matches, from which at most  $\lfloor \frac{7\cdot3}{2} \rfloor = 10$  can end with draw, therefore at least 11 matches have a winner, resulting at least  $2 \cdot 10 + 3 \cdot 11 = 53$  inner points. But the seven teams have only  $6 \times 6 + 9 = 45$  points signalizing that that the given sport matrix is not a football matrix.

In this case the concept of inner draws offers a solution. Since  $f_1+f_2+\ldots+f_6=36$  and  $3\binom{6}{2}=45$ , the teams  $T_1,\,T_2,\,\ldots,\,T_6$  made at least 9 draws among themselves. "Cautious" distribution results a draw sequence  $(3^6)$ , which can be paired easily. Then we can observe that  $f_1+f_2+\ldots+f_6+f_7=45$ , while  $3\cdot\binom{7}{2}=63$ , so the teams  $T_1,\,T_2,\,\ldots,\,T_7$  have to made at least 18 draws. Cautious distribution results a draw sequence  $(6^5,3,3)$ . Havel-Hakimi algorithm finishes the pairing with the draw sequence (2,2), so 2 draws remain unpaired. If we assign a further draw pack to this subtournament, then the uniform distribution results the draw sequence  $(6^6,3)$  consisting of 13 draw packs instead of 12. Since  $3\cdot 13=39$  is an odd number, this draw sequence is unpairable—the subtournament needs at least one outer draw. ???

# 25.10. Reconstruction of the tested sequences

The reconstruction begins with the study of the inner draws. Let's consider the following sequence of length 28:  $q = (6^6, 9, 21, 24, 27, 30, \dots, 54, 57, 57, 69^7)$ . This is the score sequence of a tournament, consisting of seven weak, 14 medium and 7 strong teams. The weak teams play only draws among themselves, the medium teams win against the weak teams and form a transitive subtournament among themselves, the strong teams win against the weak and medium teams and play only draws among themselves. Here a good management of obligatory draws is necessary for the successful reconstruction.

In general the testing of the realizability of the draw sequence of a sport matrix is equivalent with the problem to decide on a given sequence d of nonnegative integers whether there exists a simple nondirected graph whose degree sequence is d.

# 25.11. Concrete examples

Let's consider the following example:  $q = (6^4, 12, 15, 18, 21, 24, 27, 30^5, 33)$ . This is the score sequence of a tournament of 4 "week", 8 "medium" and 4 "strong" teams. The week teams and also the strong teams play only draws among themselves. The medium teams win against the weak ones and the strong teams win against the medium ones.  $T_25$  wins again  $T_1$ ,  $T_{26}$  wins against  $T_2$ ,  $T_{27}$  wins against  $T_3$ , and  $T_{28}$  wins against  $T_4$ , and the remaining matches among weak and strong teams end with draw.

In this case the 16 teams play 120 matches, therefore the sum of the scores has to be between 240 and 360. In the given case the sum is 336, therefore the point matrix has to contain 96 wins and 24 draws. So at uniform distribution of draws every team gets exactly one draw pack.

How to reconstruct this sequence? At a uniform distribution of the draw packs we have to guarantee the draws among the weak teams. The original results imply nonuniform distribution of the draws but it seems not an easy task to find a quick and successful method for a nonuniform distribution.

### **Exercises**

**25.11-1** How many

### **Problems**

25-1 Football score sequences Let

# **Chapter Notes**

A nondecreasing sequence of nonnegative integers  $D = (d_1, d_2, \ldots, d_n)$  is a score sequence of a (1, 1, 1)-tournament, iff the sum of the elements of D equals to  $B_n$  and the sum of the first i ( $i = 1, 2, \ldots, n-1$ ) elements of D is at least  $B_i$  [69].

D is a score sequence of a (k, k, n)-tournament, iff the sum of the elements of D equals to  $kB_n$ , and the sum of the first i elements of D is at least  $kB_i$  [60, 77].

D is a score sequence of an (a, b, n)-tournament, iff (25.17) holds [48].

In all 3 cases the decision whether D is digraphical requires only linear time.

In this paper the results of [48] are extended proving that for any D there exists an optimal minimax realization T, that is a tournament having D as its outdegree sequence and maximal G and minimal F in the set of all realization of D.

In a continuation [50] of this chapter we construct balanced as possible tournaments in a similar way if not only the outdegree sequence but the indegree sequence is also given.

```
[3] [4] [7] [8] [13] [16] [19] [18] [36] [38] [43]
```

```
[48] [50] [49] [51] [52]
[66] [69] [77] [78] [80]
[92] [91]
```

There are further papers on imbalances in different graphs [59, 80, 92, 111].

Many efforts was made to enumerate the different types of degree and score sequences and connected with them sequences, e.g. by Ascher [2], Barnes and Savage [5, 6], Hirschhorn and Sellers [45], Iványi, Lucz and Sótér [53, 54], Metropolis [74], Rødseth, Sellers and Tverberg [108], Simion [115], Sloane and Plouffe [116, 117, 120, 119, 118].

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