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TESTING OF RANDOM MATRICES

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Dedicated to Professor Péter Simon on his 60th birthday

Abstract. Let n $(1 \leq n)$ be an integer and $X = [x_{ij}]_{1 \leq i,j \leq n}$ be an $n \times n$ sized matrix of independent random variables having joint uniform distribution

$$\Pr\{x_{ij} = k \text{ for } 1 \leq k \leq n\} = \frac{1}{n} \quad (1 \leq i, j \leq n).$$

A realization $\mathcal{M} = [m_{ij}]$ of X is called *good*, if its each row and each column contains a permutation of the numbers $1, 2, \ldots, n$. We present and analyse algorithms which decide whether a given realization is good.

1 Introduction

Some subsets of the elements of Latin squares [1, 8, 18, 19, 20, 33, 34, 41, 42], of Sudoku squares [3, 10, 12, 15, 16, 17, 29, 30, 31, 35, 38, 42, 44, 46, 48, 49, 52], of de Bruijn arrays [2, 5, 7, 13, 23, 25, 26, 27, 28, 36, 43, 51, 53] and gerechte designs, connected with agricultural and industrial experiments [3, 4, 22] have to contain different elements. The one dimensional special case is also studied is several papers [9, 21, 24].

The testing of these matrices raises the following problem.

Let n $(1 \leq n)$ be integers and $X = [x_{ij}]_{1 \leq i \leq m, 1 \leq j \leq n}$ be an $m \times n$ sized matrix of independent random variables having joint uniform distribution

$$\Pr\{x_{ij} = k \text{ for } 1 \leq k \leq n\} = \frac{1}{n} \quad (1 \leq i \leq m, 1 \leq j \leq n). \tag{1}$$

A realization $\mathcal{M} = [m_{ij}]$ of X is called *good*, if its each row and each column contain different elements (in the case $m = n$ a permutation of the numbers $1, 2, \ldots, n$. We present and analyse algorithms which decide whether a given realization is good. If the realization is good then the output of the algorithms is TRUE, otherwise is FALSE.

In Section 2 we analyse the running times of the algorithms testing the first row of $\mathcal{M}$ in worst, best and expected cases. Section 3 contains an algorithm testing some subsets of two and three dimensional matrices. In Section 4 the results are summarised, while in Section 5 the pseudocodes of the investigated algorithms are presented.

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2 Test of random vectors

We start with the first step of the testing of $\mathcal{M}$: describe and analyse several algorithms testing the first row of $\mathcal{M}$. The inputs of these algorithms are n (the length of the first row of $\mathcal{M}$) and the elements of the first row $\mathbf{m} = (m_{11}, m_{12}, \ldots, m_{1n})$. For the simplicity we use the notation $\mathbf{s} = (s_1, s_2, \ldots, s_n)$. The output is always a logical variable g (its value is TRUE, if the input sequence is good, and FALSE otherwise).

We will denote the binomial coefficient $\binom{n}{k}$ by $B(n,k)$ and the function $\log_2 n$ by $\lg n$ [14].

We characterise the running time of the algorithms by the number of necessary assignments and comparisons and denote the running time of algorithm ALG by $T_{worst}(n, \text{ALG})$, $T_{best}(n, \text{ALG})$ and $T_{exp}(n, \text{ALG})$ in the worst, best, resp. expected case. The numbers of the corresponding assignments and comparisons are denoted by A, resp. C.

Before the investigation of the concrete algorithms we formulate several lemmas. The first lemma is the following version of the well-known Stirling's formula.

Lemma 1 ([14]) *If $n \geq 1$ then*

$$n! = \left(\frac{n}{e}\right)^n \sqrt{2\pi n} e^{\tau(n)}, \tag{2}$$

where

$$\frac{1}{12n+1} < \tau(n) < \frac{1}{12n}, \tag{3}$$

and τ_n tends monotonically decreasing to zero when n tends to infinity.

Let $a_k(n) = a_k$ and $S_i(n) = S_i$ as follows for any $n \geq 1$ integer:

$$a_k(n) = a_k = \frac{n^k}{k!} \quad (k = 0,\ 1,\ 2,\ \ldots), \tag{4}$$

$$S_i(n) = S_i = \sum_{k=0}^{n-1} a_k k^i \quad (i = 0,\ 1,\ 2,\ \ldots). \tag{5}$$

In (4) and (5) if $n = k = i = 0$, then $n^k = k^i = 0$. Solving a problem posed by S. Ramanujan [45] Gábor Szegő [47] proved the following connection between e^n and S_0.

Lemma 2 ([47]) *For the function $\sigma(n)$ defined by equation*

$$\frac{e^n}{2} = S_0 + \left(\frac{1}{3} + \sigma(n)\right) a_n = \sum_{k=0}^{n-1} \frac{n^k}{k!} + \left(\frac{1}{3} + \sigma(n)\right) a_n \quad (n = 0,\ 1,\ 2,\ \ldots) \tag{6}$$

hold $\sigma(0) = \frac{1}{6}$ and $\sigma(n)$ tends monotonically decreasing to zero when n tends to ∞.

The following lemma shows the connection between S_i and S_{i-1}.

Lemma 3 *If $i \geq 1$ is integer then*

$$S_i(n) = n \sum_{k=0}^{i-1} B(i-1,k) S_k - n^{i-1} a_{n-1}. \tag{7}$$

Proof. Omitting the member belonging to the index $k = 0$ in S_i, then simplifying by k and using the substitution $k - 1 = j$ we get

$$S_i = \sum_{k=0}^{n-1} \frac{n^k}{k!} k^i = n \sum_{k=1}^{n-1} \frac{n^{k-1}}{(k-1)!} k^{i-1} = n \sum_{j=0}^{n-2} \frac{n^j}{j!} (j+1)^{i-1}. \tag{8}$$

Completing the sum with the member belonging to index $j = n - 1$ results

$$S_i = n \sum_{j=0}^{n-1} \frac{n^j}{j!} (j+1)^{i-1} - n^i a_{n-1}. \tag{9}$$

Now applying the binomial theorem results (8). ■

In this paper we need only the following consequences of Lemma 3.

Lemma 4 *If $n \geq 1$ then*

$$S_1 = nS_0 - na_{n-1}, \quad S_2 = S_0(n^2 + n) - 2n^2 a_n, \tag{10}$$

and

$$S_3 = S_0(n^3 + 3n^2 + n) - (3n^3 + 2n^2) a_n. \tag{11}$$

Proof. Using recursively Lemma 3 for $i = 1,\ 2$ and 3 we get the required formula for $S_1,\ S_2$, and S_3. ■

We remark that (11) was proved by Balázs Novák in [40] directly.

In the following seven analyses let $n \geq 1$ and let $\mathbf{x} = (x_1,\ x_2,\ \ldots,\ x_n)$ be independent random variables having uniform distribution on the set $\{1, 2, \ldots, n\}$. Let $\mathbf{m} = (m_1, m_2, \ldots, m_n)$ be the input sequence (a realization of $\mathbf{x}$).

2.1 Running time of algorithm LINEAR

LINEAR writes zero into the elements of an n length vector $\mathbf{v} = (v_1,\ v_2,\ \ldots,\ v_n)$, then investigates the elements of the realization $\mathbf{s}$ and if $v[s_k] > 0$ (signalising a repetition), then returns FALSE, otherwise adds 1 to v_k. If LINEAR does not find a repetition among the elements of $\mathbf{m}$ then it returns finally TRUE.

LINEAR needs assignments in lines 01, 03, and 08, and it needs comparisons in line 05. The number of assignments in lines 01 and 03 equals to $n + 1$ for arbitrary input and varies between 1 and n in line 08. The number of comparisons in line 08 also varies between 1 and n. Therefore the running time of LINEAR is $\Theta(n)$ in the best, worst and expected case too. The following theorem gives a more precise characterisation of the expected running time of $T_{exp}(n, \text{LINEAR})$.

Theorem 5 *The expected running time of* LINEAR *is*

$$T_{exp}(n, \text{LINEAR}) = n + \sqrt{2\pi n} + \frac{7}{3} - 2\frac{n!}{n^n} + 2\kappa(n), \tag{12}$$

where $\kappa(n)$ tends monotonically decreasing to zero when n tends to infinity.

Proof. Let

$$y(n) = y = \max\{k : 1 \le k \le n \text{ and } m_1,\ m_2,\ \ldots,\ m_k \text{ are different}\} \tag{13}$$

be a random variable characterising the maximal length of the prefix of $\mathbf{m}$ containing different elements, and

$$\Pr\{y = k\} = p_k(n) = p_k \quad (k = 1,\ 2,\ \ldots,\ n). \tag{14}$$

At first we compute the expected value of the necessary comparisons $C_{exp}(n, \text{LINEAR})$ which for the simplicity will be denoted by C.

If $y = k$ and $1 \le k \le n-1$, then LINEAR executes $k+1$ comparisons, and only n comparisons, if $y = n$, therefore

$$C = \sum_{k=1}^{n-1} p_k(k+1) + p_n n = \sum_{k=1}^{n} p_k(k+1) - p_n = 1 - \frac{n!}{n^n} + \sum_{k=1}^{n} p_k k, \tag{15}$$

where [21]

$$p_k = \frac{n}{n}\frac{n-1}{n}\cdots\frac{n-k+1}{n}\frac{k}{n} = \frac{n!k}{(n-k)!n^k} \quad (k = 1,\ 2,\ \ldots,\ n). \tag{16}$$

Substitution of (16) into (15) and substitution of $(n-k)$ by j results

$$C = 1 - \frac{n!}{n^n} + \sum_{k=1}^{n} \frac{n!k^2}{(n-k)!n^{k+1}} = 1 - \frac{n!}{n^n} + \frac{n!}{n^n}\sum_{j=0}^{n-1}\frac{n^j}{j!}(n-j)^2. \tag{17}$$

After simple arithmetic operations we get

$$C = 1 - \frac{n!}{n^n} + \frac{n!}{n^{n+1}}(n^2 S_0 - 2nS_1 + S_2), \tag{18}$$

from where using Lemma 4 results

$$C = 1 - \frac{n!}{n^n} + \frac{n!}{n^n}\left[S_0(n^2 - 2n^2 + n^2 + n) + (2n^2 a_{n-1} - 2n^2 a_n)\right], \tag{19}$$

and finally we get

$$C = 1 - \frac{n!}{n^n} + \frac{n!}{n^n} S_0. \tag{20}$$

Using Szegő's result (Lemma 2) we have

$$C = 1 - \frac{n!}{n^n} + \frac{n!}{n^n}\left(\frac{e^n}{2} - \frac{1}{3}\frac{n^n}{n!} - \sigma(n)\frac{n^n}{n!}\right) = \frac{2}{3} - \frac{n!}{n^n} + \frac{n!}{n^n}\left[\frac{e^n}{2} - \sigma(n)\right]. \tag{21}$$

Substitution of $n!$ according to Stirling's formula and writing $1+(e^{\tau(n)}-1)$ instead of $e^{\tau(n)}$ results

$$C = \frac{2}{3} - \frac{n!}{n^n} + \frac{1}{n^n}\left(\frac{n}{e}\right)^n \sqrt{2\pi n}\left[1 + (e^{\tau(n)} - 1)\right]\left[\frac{e^n}{2} - \sigma(n)\right]. \tag{22}$$

The product P of the expressions in the square brackets is

$$P = \frac{e^n}{2} + \frac{e^n}{2}\left(e^{\tau(n)} - 1\right) - \sigma(n)e^{\tau(n)}, \tag{23}$$

therefore

$$C = \sqrt{\frac{\pi n}{2}} + \frac{2}{3} - \frac{n!}{n^n} + \kappa(n), \tag{24}$$

where

$$\kappa(n) = \kappa_1(n) - \kappa_2(n) = \sqrt{\frac{\pi n}{2}}\left(e^{\tau(n)} - 1 - \frac{2\sigma(n)e^{\tau(n)}}{e^n}\right). \tag{25}$$

It remained to show the monotonity of $\kappa(n)$. Before to do it let us compute some concrete values.

n	C	$\sqrt{\pi n/2}+2/3$	$-n!/n^n$	$\kappa(n)$	$\delta(n)=\kappa(n)-n!/n^n$	$\sigma(n)$
1	1.0000	1.9199	$-1,0000$	0.0800	-0.9200	0.026188
2	2.0000	2.4391	-0.5000	0.0609	-0.4371	0.013931
3	2.6667	2.8374	-0.2222	0.0506	-0.1716	0.009504
4	3.1250	3.1732	-0.0937	0.0455	-0.0482	0.007205
5	3.4720	3.4692	-0.0384	0.0412	$+0.0028$	0.005800
6	3.7590	3.7366	-0.0154	0.0378	$+0.0224$	???
7	4.0120	3.9826	-0.0061	0.0355	$+0.0294$	???
8	4.2430	4.2126	-0.0025	0.0339	$+0.0314$	???
9	4.4570	4.4266	-0.0009	0.0313	$+0.0304$	???
10	4.6600	4.6300	-0.0004	0.0303	$+0.0299$	???

Table 1: Values of $\sqrt{\pi n/2}+2/3$, $-n!/n^n$, $\kappa(n)$, $\delta(n)$, and $\sigma(n)$ for $n = 1,\ 2,\ \ldots,\ 10$

The monotonity of $\kappa(n)$ is equivalent with

$$\gamma(n) = \frac{\kappa(n+1)}{\kappa(n)} = \frac{\kappa_1(n+1)+\kappa_2(n+1)}{\kappa_1(n)+\kappa_2(n)} < 1 \quad \text{for } n = 1,\ 2,\ \ldots,\ n-1. \tag{26}$$

Numerical results in Table 1 show that this inequality holds for $1 \le n \le 7$.

Since all κ functions are positive for all permitted n's, therefore $\kappa_2(n)$ can be omitted from (29). Since $\sigma(n)$ and $\tau(n)$ are monotone decreasing functions, and $0 < \sigma(5) < 0.0058$, and $0 < e^{\tau(5)} < 1.02$, and $n^2 < e^n$ for $n \geq 10$, therefore

$$\frac{2\sigma(n)e^{\tau(n)}}{e^n} < \frac{2 \cdot 0.0058 \cdot 1.02}{e^n} < \frac{0.012}{n^2} \text{ for } n \geq 10. \tag{27}$$

Using (28) and the Lagrange remainder of the Taylor series of the function e^x we have

$$\frac{\kappa(n+1)}{\kappa(n)} < \frac{\sqrt{n+1}}{\sqrt{n}} \frac{\tau(n+1) + \tau^2(\xi_{n+1})/2}{\tau(n) + \tau^2(\xi_n)/2 - \frac{0.012}{n^2}}, \tag{28}$$

where $0 < \xi_{n+1} < n+1$ and $0 < \xi_n < n$, therefore using Lemma 1 we get

$$\frac{\kappa_1(n+1)}{\kappa_1(n)} < \frac{\sqrt{n+1}}{\sqrt{n}} \frac{\frac{1}{12(n+1)+1}}{\frac{1}{12n} + \frac{1}{2}\left(\frac{1}{12n}\right)^2 - \frac{0.012}{n^2}}. \tag{29}$$

Now multiplying the nominator and denominator by $(12n)^2$ results

$$\frac{\kappa_1(n+1)}{\kappa_1(n)} = \frac{\sqrt{n+1}}{\sqrt{n}} \frac{12n}{(12n - 1.228)\left(1 + \frac{13}{12n}\right)}. \tag{30}$$

Since

$$\frac{1}{12n} + \frac{1}{2}\left(\frac{1}{12n}\right)^2 - \frac{0.012}{n^2} < 12n + 11 + \frac{13}{12n}, \tag{31}$$

(30) and (31) imply

$$\frac{\kappa_1(n+1)}{\kappa_1(n)} < \frac{\sqrt{144n^3 + 144n^2}}{\sqrt{144n^3 + 264 \cdot n^2 + 137 + \frac{169}{144n}}} < 1 \tag{32}$$

finishing the proof of the monotonity of $\kappa(n)$.

LINEAR requires $n+1$ assignments in lines 01 and 03, plus assignments in line 08. The expected number of assignments in line 08 is the same as $C_{exp}(n, \text{LINEAR})$. Therefore

$$T_{exp}(n, \text{LINEAR}) = n + 1 + 2C_{exp}(n, \text{LINEAR}). \tag{33}$$

Substituting (24) into (33) results the required (12). ■

We remark, that (17) is equivalent with

$$C = 1 - \frac{n!}{n^n} + 1 + \frac{n-1}{n} + \frac{n-1}{n}\frac{n-2}{n} + \cdots + \frac{n-1}{n}\frac{n-2}{n}\cdots\frac{1}{n}, \tag{34}$$

demonstrating the close connection with the function

$$Q(n) = C - 1 + \frac{n!}{n^n}, \tag{35}$$

studied by several authors, e.g. in [6, 24, 32]. The monotonity of κ_n was published in [24] without proof.

We can observe in Table 1 that $\delta(n) = \kappa(n) - \frac{n!}{n^n}$ is increasing from $n = 1$ to $n = 7$, but for larger n is decreasing. Taking into account

$$\frac{n!}{n^n} = \left(\frac{n}{e}\right)^2 e^{\tau(n)}\sqrt{2\pi n}e^{\tau n} < \frac{\sqrt{2\pi n}}{e^n}e^1/(12n) < \frac{2.7\sqrt{n}}{e^n} < \frac{0.012}{n^2} \tag{36}$$

we can prove – using the same arguments as in the proof of Theorem 5 – the following assertion.

Theorem 6 *The expected running time of* LINEAR *is*

$$T_{exp}(n, \text{LINEAR}) = n + \sqrt{2\pi n} + \frac{7}{3} + \delta(n), \tag{37}$$

where $\delta(n)$ tends to zero when n tends to infinity, further

$$\delta(n+1) > \delta(n) \text{ for } 1 \le n \le 7 \text{ and } \delta(n+1) < \delta(n) \text{ for } n \ge 8. \tag{38}$$

If we wish to prove only the existence of some threshold index n_0 having the property that $n \ge n_0$ implies $\delta(n+1) < \delta(n)$, then we can use the following shorter proof.

Using (24) and (35) we get

$$\kappa(n) = C(n) - \sqrt{\frac{\pi n}{2}} - \frac{2}{3} - \frac{n!}{n^n} = Q(n) - \sqrt{\frac{\pi n}{2}} + \frac{1}{3}. \tag{39}$$

Substituting the power series

$$Q(n) = \sqrt{\pi n}2 - \frac{1}{3} + \frac{1}{12}\frac{\pi}{2n} - \frac{14}{135n} + \frac{1}{288}\frac{\pi}{2n^3} + O(n^{-2}) \tag{40}$$

of $Q(n)$ cited by D. E. Knuth [32, Equation (25) on page 120] into (39) and using

$$\frac{1}{n^{k/2}} - \frac{1}{(n+1)^{k/2}} = \Theta\left(\frac{1}{n^{1+k/2}}\right) \tag{41}$$

for $k = 1,\ 2,\ 3$ and 4 we get

$$\kappa(n+1) - \kappa(n+1) = \frac{\sqrt{\pi}}{12\sqrt{2}}\left(\frac{1}{\sqrt{n}} - \frac{1}{\sqrt{n+1}}\right) + O(n^{-2}), \tag{42}$$

implying

$$\kappa(n+1) - \kappa(n+1) = \frac{\sqrt{\pi}}{12\sqrt{2}}\frac{1}{\sqrt{n}\sqrt{n+1}(\sqrt{n} + \sqrt{n+1})} + O(n^-2), \tag{43}$$

guaranteeing the existence of the required n_0.

2.2 Running time of algorithm BACKWARD

BACKWARD compares the second (m_2), third (m_3), ..., last (m_n) element of the realization with the previous elements until the first collision or until the last pair of elements.

Taking into account the number of the necessary comparisons in line 04 of BACKWARD, we get $C_{best}(n, \text{BACKWARD}) = 1 = \Theta(1)$, and $C_{worst}(n, \text{BACKWARD}) = B(n, 2) = \Theta(n^2)$. The number of assignments is 1 in the best case (in line 01) and is 2 in the worst case (in lines 01 and in line 05). The expected number of assignments is $A_{exp}(n, \text{BACKWARD}) = 1 + \frac{n!}{n^n}$, since only the good realizations require the second assignment.

The next assertion gives the expected running time.

Theorem 7 *The expected running time of the algorithm* BACKWARD *is*

$$T_{exp}(n, \text{BACKWARD}) = n + \sqrt{\frac{\pi n}{8}} + \frac{4}{3} - \alpha(n), \tag{44}$$

where $\alpha(n) = \frac{\kappa(n)}{2} + \frac{n!}{n^n}\frac{n+1}{2}$ *monotonically decreasing tends to zero when* n *tends to* ∞.

Proof. Let $y(n)$ as defined in (13), p_k as defined in (14), and let

$$z = \{q : 1 \leq q \leq k \text{ and } m_1, m_2, \ldots, m_k \text{ are different and } m_{k+1} = m_q \mid y = k\}, \tag{45}$$

be a random variable characterising the index of the first repeated element of $\mathbf{m}$.

Let

$$q_i(k, n)q_i = \Pr\{z = i | y = k\} \quad (k = 1,\ 2,\ \ldots,\ n;\ i = 1,\ 2,\ \ldots k) \tag{46}$$

and let $C_{exp}(n, \text{BACKWARD})$ denoted by C.

BACKWARD executes $B(k, 2)$ comparisons among the elements $m_1,\ m_2,\ \ldots,\ m_k$, and m_{k+1} requires at least 1 and at most k comparisons (with exception of case $k = n$ when additional comparisons are not necessary). Therefore using the theorem of the full probability we have

$$C = \sum_{k=1}^{n-1} p_k \left(B(k, 2) + \sum_{i=1}^{k} i q_i \right) + p_n B(n, 2), \tag{47}$$

where

$$q_i(n, k) = q_i = \frac{1}{k} \quad (i = 1,\ 2,\ \ldots,\ k;\ k = 1,\ 2, \ldots,\ n). \tag{48}$$

Adding a new member to the first sum we get

$$C = \sum_{k=1}^{n} p_k \left(B(k, 2) + \sum_{i=1}^{k} i q_i \right) - p_n \sum_{i=1}^{n} i q_i. \tag{49}$$

Using the uniform distribution (48) of z we can determine its contribution to C:

$$\sum_{i=1}^{k} q_i i = \sum_{i=1}^{k} \frac{i}{k} = \frac{k+1}{2}. \tag{50}$$

Substituting the probability (14) and the contribution in (50) into (49) we have

$$C = \sum_{k=1}^{n} \frac{n!}{(n-k)!n^{k+1}} \frac{k^3+k}{2} - \frac{n!}{n^n}\frac{n+1}{2}. \tag{51}$$

The substitution $n-k=j$ results

$$C = \frac{n!}{2n^{n+1}} \left[\sum_{j=0}^{n-1} \frac{n^j}{j!} \left((n-j)^3 + (n-j) \right) \right] - \frac{n!}{n^n}\frac{n+1}{2}, \tag{52}$$

further

$$C = \frac{n!}{2n^{n+1}} \left[\sum_{j=0}^{n-1} \frac{n^j}{j!} \left(-j^3 + 3j^2 n - j(3n^2+1) + (n^3+n) \right) \right] - \frac{n!}{n^n}\frac{n+1}{2}, \tag{53}$$

implying

$$C = \frac{n!}{2n^{n+1}} \left[-S_3 + 3nS_2 - (3n^2+1)S_1 + (n^3+n)S_0 \right] - \frac{n!}{n^n}\frac{n+1}{2}. \tag{54}$$

Using Lemma 4 we get

$$C = \frac{n!}{2n^n} \left[3nS_0(n+1) - S_0(n^2+3n+1) - (3n^2+1)S_0 + (n^2+1)S_0 \right] + \beta(n), \tag{55}$$

where

$$\beta(n) = \frac{n!}{2n^{n+1}} \left[-(3n^3+2n^2)a_n - 6n^2 a_n + (3n^3+n)a_{n-1} \right] - \frac{n!}{n^n}\frac{n+1}{2}. \tag{56}$$

The result of simple arithmetic operations is

$$C = \frac{n!}{2n^{n+1}} \left[(2n^2+n) - nS_0 \right] - n\frac{n!}{n^n} = n + \frac{1}{2} - \frac{1}{2}\frac{n!}{n^n}S_0 - \frac{n!}{n^n}\frac{n+1}{2} \tag{57}$$

We have seen in the proof of Theorem 5 that

$$\frac{n!}{n^n}S_0 = \sqrt{\frac{\pi n}{2}} - \frac{1}{3} + \kappa(n). \tag{58}$$

Using this result we get

$$C = n - \sqrt{\frac{\pi n}{8}} + \frac{2}{3} - \frac{1}{2}\kappa(n) - \frac{n!}{n^n}\frac{n+1}{2}. \tag{59}$$

Taking into account $A_{exp}(n, \textsc{Backward}) = 1 + \frac{n!}{n}$ and (59) we get (44). ■

Let us compute some concrete values. The following Table 4 represents some concrete numerical results. It is worth to remark that $\frac{n!}{n^n}\frac{n+1}{2} = \Theta n\sqrt{n}e^n$, while $\kappa n = \Theta\frac{1}{\sqrt{n}}$, therefore κn decreases much quicker than the other expression.

n	C	$n-\sqrt{\pi n/8}+2/3$	$\frac{n!}{n^n}\frac{n+1}{2}$	$-\kappa(n)$	$\alpha(n)=\frac{\kappa(n)}{2}+\frac{n!}{n^n}\frac{n+1}{2}$
1	0.00000	0.20667	1.00000	−0.08002	1.04004
2	1.00000	0.78044	0.75000	−0.06088	0.88127
3	2.11111	2.58127	0.44444	−0.05144	0.47016
4	3.15625	3.41336	0.23438	−0.04545	0.25711
5	4.12960	4.26542	0.11520	−0.04124	0.13582
6	5.05864	5, 13168	0.05401	−0.03806	0, 07304
7	5.96645	6, 00869	0.02448	−0.03552	0.04224
8	6.86668	6.89421	0.01081	−0.03346	0.02754
9	7.76616	7.78670	0.00468	−0.03171	0.02054

Table 2: Values of $n-\sqrt{\pi n/8}+2/3$, $\frac{n!}{n^n}\frac{n+1}{2}$, $\kappa(n)$, and $\alpha(n)=\frac{\kappa(n)}{2}+\frac{n!}{n^n}\frac{n+1}{2}$ for $n=1,\ 2,\ \ldots,\ 9$

2.3 Running time of algorithm FORWARD

FORWARD compares the first (m_1), second (m_2), ..., last but one (m_{n-1}) element of the realization with the next elements until the first collision or until the last pair of elements.

Taking into account the number of the necessary comparisons in line 04 of FORWARD, we get $C_{best}(n, \text{FORWARD}) = 1 = \Theta(1)$, and $C_{worst}(n, \text{FORWARD}) = B(n, 2) = \Theta(n^2)$.

The next assertion gives the expected running time.

Theorem 8 *The expected running time of the algorithm* FORWARD *is*

$$T_{exp}(n, \text{FORWARD}) = n + \Theta(\sqrt{n}). \tag{60}$$

Proof. ... ■

2.4 Running time of algorithm RANDOM

RANDOM generates random pairs of elements and tests them until it finds two identical elements or it tested all the possible pairs of **s**. It uses the procedure RAN(k) [14] generating a random integer value distributed uniformly in the interval $[1, k]$.

RANDOM needs only $1 = \Theta(1)$ time in the best case. In the worst case its running time can be arbitrary large but the probability of a large running time is small.

Theorem 9 *The expected running time of* RANDOM *is*

$$T_{exp}(n, \text{RANDOM}) = \Theta(n).$$

Proof. Algorithm RANDOM can get two types of input: it gets a good input with probability $n!/n^n$ and a bad input with probability $(n^n - n!)/n^n$.

In the case of a good input the algorithm needs $n(n-1)/2$ different comparisons to observe that the investigated input is good. Using the known solution of the coupon collector's problem (see [14, page 109–110] or [50]) the expected number of the necessary comparisons is

$$C_{good} = n \sum_{i=1}^{B_n} \frac{1}{i} = \Theta(n \lg n). \tag{61}$$

If RANDOM gets a bad input, then

$$\sum_{i=0}^{\infty} \left(\frac{n-1}{n}\right)^i i = 1 + \sum_{i=1}^{n} (1 - \frac{1}{i}) = n - O(\lg n) = \Theta(n) \tag{62}$$

is an upper bound of its expected running time, and so

$$C_{exp}(n, \text{RANDOM}) \leq \frac{n!}{n^n}\Theta(n \lg n) + \frac{n^n - n!}{n^n}\Theta(n) = \Theta(n). \tag{63}$$

■

2.5 Running time of algorithm TREE

TREE builds a random search tree from the elements of the realization and finishes the construction of the tree if it finds the following element of the realization in the tree (then the realization is not good) or it tested the last element too without a collision (then the realization is good).

The worst case running time of TREE appears when the input contains different elements in increasing or decreasing order. Then the result is $\Theta(n^2)$. The best case is when the first two elements of $\mathbf{m}$ are equal, so $C_{best}(n, \text{TREE}) = 1 = \Theta(1)$.

Using the known fact that the expected height of a random search tree is $\Theta(\lg n)$ and Lemma 1 we can get that the order of the expected running time is $\sqrt{n} \log n$.

Theorem 10 *The expected running time of* TREE *is*

$$T_{exp}(n, \text{TREE}) = \Theta(\sqrt{n} \lg n).$$

2.6 Running time of algorithm GARBAGE

This algorithm is similar to LINEAR, but it works without the setting zeros into the elements of a vector requiring linear amount of time.

Beside the cycle variable i GARBAGE uses as working variable also a vector $\mathbf{v} = (v_1, v_2, \ldots, v_n)$. Interesting is that $\mathbf{v}$ is used without initialisation, that is its initial values can be arbitrary integer numbers.

Lemma 11 *The expected running time of* GARBAGE *is*

$$T_{exp}(n, \text{GARBAGE}) = \Theta(\sqrt{n}).$$

2.7 Running time of algorithm BUCKET

BUCKET divides the interval $[1, n]$ into $m = \sqrt{n}$ subintervals $B_1,\ B_2, \ldots,\ B_m$, where $B_j = [(j-1)m+1, jm]$, and sequentially puts the elements of S into the corresponding interval (or bucket, due to some similarity to bucket sort [14]: if $\lceil s_i/m \rceil = r$, then s_i belongs to B_r) if s_i is missing from B_r. BUCKET works until the first repetition (stopping with $g =$ FALSE, or up to the processing of the last element s_n (stopping with $g =$ TRUE).

BUCKET handles an array $Q[1 : m, 1 : m]$ (where $m = \lceil \sqrt{n} \rceil$ and puts the element s_i into the rth row of Q, and it tests using linear search whether s_j appeared earlier in the corresponding bucket. The elements of the vector $\mathbf{c} = (c_1, c_2, \ldots, c_m)$ are counters, where c_j $(1 \leq j \leq m))$ shows the number of elements in B_j.

For the simplicity let us suppose that m is a positive integer and $n = m^2$.

In the best case $s_1 = s_2$. Then BUCKET executes 1 comparisons in line 08, m assignments in line 04, and 1 assignment in line 01, 1 in line 02, 2 in line 06, and 1 in line 08, 11 and 12, therefore $T_{best}(n, \text{BUCKET}) = m + 7 = \Theta\sqrt{n}$. The worst case appears, when the input is bad. Then each bucket requires $1 + 2 + \cdots + m - 1 = B(n-1, 2)$ comparisons in line 08, further $3m$ assignments in lines 06, and 12, totally $\frac{m^2(m-1)}{2} + 3m^2$ operations. Lines 01, 02, and 09 require 1 assignment per line, and the assignment in line 04 is repeated m times. So $T_{worst}(n, \text{BUCKET}) = \frac{m^2(m-1)}{2} + 3m^2 + m + 3 = \Theta(n^{3/2})$.

In connection with the expected behaviour at first we show that the expected number of elements in a bucket has a constant bound which is independent from n.

Lemma 12 *Let β_j $(j = 1,\ 2,\ \cdots,\ m)$ be a random variable characterizing the number of elements in the bucket B_j at the moment of the first repetition. Then*

$$E\{\beta_j\} = \frac{\pi}{2} - \delta(n), \tag{64}$$

where $\delta(n) = \frac{\kappa(n)}{\sqrt{n}} - \frac{1}{3\sqrt{n}}$ and $\delta(n)$ tends monotonically decreasing to zero when n tends to infinity.

Proof. Due to the symmetry it is sufficient to prove (64) for $j = 1$.

Let m be a positive integer and $n = m^2$. Let $y(n) = y$ be the random variable defined in (13) and $p_k(n)$ be the probability defined in (14).

Let A_i $(i = 1,\ 2,\ \ldots,\ n)$ be the event that the number i appears in $\mathbf{s}$ before the first repetition and Y_i be the indicator of A_i. Then using the theorem of the full probability we have

$$\mathrm{E}\{\beta_1\} = \sum_{i=1}^{m} Y_i(n) = \sum_{i=1}^{m} \Pr\{A_i\} = m\Pr\{A_1\} \tag{65}$$

and

$$\Pr\{A_1\} = \Pr\{1 \in \{s_1,\ s_2,\ \ldots,\ s_k\} | y = k\} = \sum_{k=1}^{n} p_k \frac{k}{n} = \frac{1}{n} \sum_{k=1}^{n} p_k k. \tag{66}$$

Comparing (66) with (15) and (35) we the close connection

$$\Pr\{A_1\} = \frac{Q_n}{n}. \tag{67}$$

resulting

$$E\{\beta_1\} = \sqrt{\frac{\pi}{2}} - \delta(n), \tag{68}$$

where

$$\delta(n) = \frac{\kappa(n)}{\sqrt{n}} - \frac{1}{3\sqrt{n}}. \tag{69}$$

We omit the proof of the monotonity of $\delta(n)$, since it is similar to the proof of Theorem 8.

The following table 3 shows some concrete values. ■

n	$\mathrm{E}\{\beta_1\}$	$\frac{\pi}{2}$	$\frac{1}{3\sqrt{n}}$	$\frac{\kappa(n)}{\sqrt{n}}$	$\frac{\kappa(n)}{\sqrt{n}}\frac{1}{3\sqrt{n}}$
1	0.999981	1.253314	0.333333	−0.08002	-0.253333
2	1.??????	1.253314	0.??????	−0.0????	-0.136226
3	1.143918	1.253314	0.111111	−0.01715	-0.109396
4	1.171117	1.253314	0.083333	−0.01136	-0.082197
5	1.197473	1.253314	0.066666	−0.00825	-0.065841
6	1.198428	1.253314	0.055555	−0.00669	-0.054886
7	1, 206202	1.253314	0.047619	−0.00507	-0.047112
8	1.221698	1.253314	0.041616	−0.00???	-0.0?????
9	1.225169	1.253314	0.037033	−0.00463	-0.0?????
10	1.??????	1.253314	0.033333	−0.00317	-0.0?????

Table 3: Values of $\mathrm{E}\{\beta_1\}$, $\frac{\pi}{2}$, $\frac{1}{3\sqrt{n}}$, $\frac{\kappa(n)}{\sqrt{n}}$ and $\frac{\kappa(n)}{\sqrt{n}}\frac{1}{3\sqrt{n}}$ for $n = 1,\ 2,\ \ldots,\ 10$

Theorem 13 *The expected running time of* BUCKET *is*

$$T_{exp}(n, \text{BUCKET}) = \Theta(\sqrt{n}). \tag{70}$$

Proof. Let $n \geq 2$ be a positive integer and let $X_1,\ X_2, \ldots, X_n$ be independent random variables having join uniform distribution on the set $\{1, 2, \ldots, n\}$. Let $s_1,\ s_2,\ \ldots,\ s_n$ be the input sequence of the algorithm BUCKET. BUCKET processes the input sequence using $m = \sqrt{n}$ buckets $B_1,\ B_2,\ \ldots, B_n$: it investigates the input elements sequentially and if the i-th input element s_i belongs to the set $\{(r-1)n/m + 1, (r-1)n/m + 2, \ldots,\ rn/m\}$, then BUCKET sequentially compares s_i with the elements in the bucket B_r and finishes, if it finds a collision, or puts s_i into the bucket, if s_i differs from all elements in B_r.

Let $y(n)$ be the random variable, defined in (12). Let $H_r(n)$ denote the number of the elements put into B_r before the first repetition. Then this bucket requires

$$Z_n(H_r) = \sum_{l=1}^{Y_n(H_r)} \frac{Y_n(H_r)(Y_n(H_r)-1)}{2}. \tag{71}$$

Then according to the theorem of the total probability we have

$$P(A_1) = \sum_{k=1}^{n} P(A_1 \mid T=k)P(T=k) = \sum_{k=1}^{n} \frac{k}{n} \frac{n!k}{(n-k)!n^{k+1}} \ . \tag{72}$$

■

3 Test of random arrays

Now let $A = [1 : n, 1 : n]$ be a two-dimensional random array. The array A is called *good,* if its all lines (rows and columns) contain a permutation of the elements 1, 2, ..., n.

Theorem 14 *The expected running time of* MATRIX *is*

$$T_{exp}(n, \text{MATRIX}) = \Theta(\sqrt{n}). \tag{73}$$

Proof. The **???** ■

4 Summary

Table 4 summarises the basic properties of the running times of the investigated algorithms.

Index and Algorithm	$T_{best}(n)$	$T_{worst}(n)$	$T_{exp}(n)$
1. BACKWARD	$\Theta(1)$	$\Theta(n^2)$	$\Theta(n)$
2. FORWARD	$\Theta(1)$	$\Theta(n^2)$	$\Theta(n)$
3. LINEAR	$\Theta(n)$	$\Theta(n)$	$n + \Theta(\sqrt{n})$
4. RANDOM	$\Theta(1)$	$\Theta(n^2 \lg n)$	$\Theta(n)$
5. TREE	$\Theta(1)$	$\Theta(n^2)$	$\Theta(\sqrt{n} \lg n)$
6. GARBAGE	$\Theta(1)$	$\Theta(n)$	$\Theta(\sqrt{n})$
7. BUCKET	$\Theta(\sqrt{n})$	$\Theta(n\sqrt{n})$	$\Theta(\sqrt{n})$
8. MATRIX	$\Theta(1)$	$\Theta(n\sqrt{n})$	$\Theta(\sqrt{n})$

Table 4: The running times of the investigated algorithms in best, worst and expected cases

We used in our calculations the RAM computation model [11, 14]. If the investigated algorithms run on real computers then we have to take into account also the limited capacity of the memory locations and the increasing execution time of the elementary arithmetical and logical operations.

5 Pseudocodes of the algorithms

The inputs of the following seven algorithms are n (the length of the sequence $\mathbf{s}$) and $\mathbf{s}$ $(= (s_1, s_2, \ldots, s_n))$, a sequence of nonnegative integers with $1 \leq s_j \leq n$ for $1 \leq j \leq n$) in all cases. The output is always a logical variable g (its value is TRUE, if the input sequence is good, and FALSE otherwise).

The working variables are usually the cycle variables i and j.

5.1 Definition of algorithm LINEAR

LINEAR writes zero into the elements of an n length vector $\mathbf{v} = (v_1,\ v_2,\ \ldots,\ v_n)$, then investigates the elements of the realization and if $v[s_i] > 0$ (signalising a repetition), then stops, otherwise adds 1 to v_k.

```
LINEAR(n, s)
01 g ← TRUE
02 for i ← 1 to n
03     do v[i] ← 0
04 for i ← 1 to n
05     do if v[s[i]] > 0
06           then g ← FALSE
07                return g
08           else  v[s[i]] ← v[s[i]] + 1
09 return g
```

5.2 Definition of algorithm BACKWARD

BACKWARD compares the second (i_2), third (i_3), ..., last (i_n) element of the realization $\mathbf{s}$ with the previous elements until the first collision or until the last pair of elements.

```
BACKWARD(n, s)
01 g ← TRUE
02 for i ← 2 to n
03     do for j ← i − 1 downto 1
04           do if s[i] = s[j]
05                 then g ← FALSE
06                      return g
07 return g
```

5.3 Definition of algorithm FORWARD

FORWARD compares the first (i_1), second (i_2), ..., last but one (i_{n-1}) element of the realization with the following elements until the first collision or until the last pair of elements.

```
FORWARD(n, s)
```

```
01 g ← True
02 for i ← 1 to n − 1
03     do for j ← i + 1 to n
04             do if s[i] = s[j]
05                    then g ← False
06                         return g
07 return g
```

5.4 Definition of algorithm RANDOM

Random generates random pairs of elements and tests them until it finds two identical elements or it tested all the possible pairs of **s**. It uses the procedure Ran(k) [14] generating a random integer value distributed uniformly in the interval $[1, k]$.

Random(n, **s**)

```
01 g ← True
02 while g = True
03       do i ← Ran(n^2)
04          j ← (Ran(n^2 − 1) + j)  (mod n^2)
05          if s_i = s_j
06            then g ← False
07 return g
```

5.5 Definition of algorithm TREE

Tree builds a random search tree from the elements of the realization and finishes the construction of the tree if it finds the following element of the realization in the tree (then the realization is not good) or it tested the last element too without a collision (then the realization is good).

Tree(n, **s**)

```
01 g ← True
02 let s[1] be the root of a tree
03 for i ← 2 to n
04     if [s[i] is in the tree
05       then g ← False
06            return
07       else  insert s[i] in the tree
08 return g
```

5.6 Definition of algorithm GARBAGE

This algorithm is similar to Linear, but it works without the setting zeros into the elements of a vector requiring linear amount of time.

Beside the cycle variable i GARBAGE uses as working variable also a vector $\mathbf{v} = (v_1, v_2, \ldots, v_n)$. Interesting is that $\mathbf{v}$ is used without initialisation, that is its initial values can be arbitrary integer numbers.

The algorithm GARBAGE was proposed by Gábor Monostori [37].

```
GARBAGE(n, s)
01 g ← TRUE
02 for i ← 1 to n
03     do if v[s[i]] < i and s[v[s[i]]] = s[i]
04           then g ← FALSE
05                return g
06           else v[s[i]] ← i
07 return g
```

5.7 Definition of algorithm BUCKET

BUCKET handles the array $Q[1 : m, 1 : m]$ (where $m = \lceil \sqrt{n} \rceil$ and puts the element s_i into the rth row of Q, where $r = \lceil s_i/m \rceil$ and it tests using linear search whether s_j appeared earlier in the corresponding row. The elements of the vector $\mathbf{c} = (c_1, c_2, \ldots, c_m)$ are counters, where c_j $(1 \leq j \leq m)$) shows the number of elements of the ith row.

For the simplicity we suppose that n is a square.

```
BUCKET(n, s)
01 g ← TRUE
02 m ← √n
03 for j ← 1 to m
04     do c[j] ← 1
05 for i ← 1 to n
06     do r ← ⌈s[i]/m⌉m
07        for j ← 1 to c[r] − 1
08            do if s[i] = Q[r, j]
09                  then g ← FALSE
10                       return g
11                  else Q[r, c[r]] ← s[i]
12                       c[r] ← c[r] + 1
13 return g
```

5.8 Definition of algorithm MATRIX

MATRIX is based on BUCKET **???**

For the simplicity let us suppose that n is a square.

```
MATRIX(n, M)
01 g ← TRUE
02 BUCKET(n, r_1)
03 if g = FALSE
```

```
04    then return g
05 for i ← 2 to n
06     do Bucket(n, r_i)
07        if g = False
08           then return g
09 for j ← 1 to n
10     do Bucket(n, c_j)
11        if g = False
12           then return g
13 return True
```

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